

§17. The Krull-Schmidt Theorem and lifting idempotents

Throughout this section: \mathcal{O} is complete, commutative local Noetherian ring with residue field $k \cong \mathcal{O}/\mathfrak{m}(\mathcal{O})$.

Def: Let A be an \mathcal{O} -algebra and let e be an idempotent of A . A primitive decomposition of e is a finite set I of pairwise orthogonal primitive idempotents of A such that $\sum_{i \in I} i = e$.

Ex (1) $E_i = \begin{pmatrix} 0 & & & & \\ & \ddots & & & \\ & & 1 & & \\ & & & \ddots & \\ 0 & & & & 0 \end{pmatrix}_i$ $E_n = \sum_{i=1}^n E_i$ in $M_n(\mathcal{O})$.

(2) U an A -module, A an \mathcal{O} -algebra $\text{Id}_U = \sum_{i \in I} \varphi_i$. Then $U = \bigoplus_{i \in I} \varphi_i(U)$ is a decomp of U in direct sum of indecomposable A -modules.

!!! Not every A -module admits such decomp. A sufficient condition for the existence of such decomposition is that U is fin. gene. as \mathcal{O} -module.

(3) G a finite group, K a splitting field of charact zero for G . For any $\chi \in \text{Irr}_K(G)$ set $e(\chi) = \frac{\chi(1)}{|G|} \sum_{g \in G} \chi(g^{-1})g$. Then $1 = \sum_{\chi \in \text{Irr}_K(G)} e(\chi)$ is a primitive decomposition of 1 in $Z(KG)$. ($KG \cong \prod M_{n_i}(K)$)

Proposition 1 (properties of idempotents)

Let A be an \mathcal{O} -algebra and let i, j be idemp. Then:

- (i) If i, j are orthogonal then $A(i+j) = A_i \oplus A_j$
- (ii) $\forall i \in A$, A_i is projective and.
 - (A_i is indecomposable if and only if i is primitive)
- (iii) if $A = U \oplus V$ then $\exists i, j$ s.t. $U = A_i, V = A_j, 1 = i+j$.
(Moreover i, j are orthog.)
- (iv) Any direct summand of A as left module is eq. to A_i for some (not nec. unique) idemp i of A
- (v) For any idemp $i \in A$ and any A -mod U we have an \mathcal{O} linear isomorphism $\text{Hom}_A(A_i, U) \cong iU$ mapping $\varphi \in \text{Hom}_A(A_i, U)$ to $\varphi(i)$
- (vi) For any i, j , we have an \mathcal{O} linear isomorphism $\text{Hom}_A(A_i, A_j) \cong iA_j$ mapping $\varphi \in \text{Hom}_A(A_i, A_j)$ to $\varphi(i)$.
- (vii) For any idemp i , we have an \mathcal{O} -algebra isom. $\text{End}_A(A_i) \cong (iA_i)^{\text{op}}$ mapping $\varphi \in \text{End}_A(A_i)$ to $\varphi(i)$.

Proof:

- (i) Let $a(i+j) \in A(i+j)$ and $bi + cj \in A_i + A_j$.
Clearly $A(i+j) \subseteq A_i + A_j$. Also $(bi + cj)(i+j) = bi + cj$ by orthogonality so $A_i + A_j \subseteq A(i+j)$.
Consider $bi = cj \in A_i \cap A_j$. Multiply by i : $bi = cij = 0$
so $A_i \cap A_j = \{0\}$ and $A_i + A_j = A_i \oplus A_j$.
- (ii) $1 = i + (1-i)$ (orthog decomp) $\Rightarrow A = A_i \oplus A(1-i)$
 $\Rightarrow A_i \oplus A$ is projective.
 - A_i indecomposable implies i primitive by (i)
 - i primitive $\Leftrightarrow iA_i$ is local $\Leftrightarrow \text{End}_A(A_i)$ is local $\Leftrightarrow A_i$ indecomposable.

(iii) $A = U \oplus V \Rightarrow 1 = i + j$ unique decomposition with respect to \oplus . Then $i = i^2 + ij \Rightarrow ij = 0$ and $i = i^2$. Same $j = j^2$ and $j = j^2$ (all by the unique decomposition in elements of U and V).

(iv) Thus $A = A_i \oplus A_j$ by (i). Let $U \subseteq A$. Choose a complement $A = U \oplus V$. Then $U = A_i$, $V = A_j$ by (iii). The choice of complement is not necessary unique.

(v) The inverse map for $\text{Hom}_A(A_i, U) \rightarrow {}_i U$
 ${}_i U \rightarrow \text{Hom}_A(A_i, U) \quad \varphi \mapsto \varphi(i)$
 is $e \mapsto (a_i \mapsto a_i e)$

(vi) Special case where $U = A_j$

(vii) $(\varphi \circ \psi)(i) = \varphi(\psi(i)) = \varphi(\psi(i)i) = \varphi(i) \cdot \psi(i)$.
 \Rightarrow map of A -algebras \square

Proposition 2 (some kind of converse of (ii) above).

A an \mathcal{O} -algebra, fin. gene as an \mathcal{O} -module and U a proj. indecomposable A -module; then there exists an idempotent e , primitive, with $Ae \cong U$.

Proof: Aim: U is a direct summand of A .

Let $S = U/\text{rad}(U)$ and $\pi: U \rightarrow S$ the canonical projection. On the other hand consider $f: A \rightarrow S$ for some $s \in S \setminus \{0\}$.
 $a \mapsto as$

then f is surjective, and given the fact that A is projective there exist $\sigma: A \rightarrow U$ an A -homomorphism $f = \pi \sigma$.

Hence $\sigma(A) + \ker(\pi) = U$ and this is $\text{rad}(U)$

gives $\sigma(A) = U$ by Nakayama's lemma.
 Given that U is proj we get that σ is split surjective
 so $U \in A$. So $U \simeq A_i$ by (iv) and i is primitive
 by (ii) in the previous theorem. \square

Theorem 3: Let A be an \mathcal{O} -algebra, fin. gen
 as an \mathcal{O} -module and let e be an idempotent
 in A . Then e has a primitive decomposition
 and any two primitive decompositions I, J are
 conjugated in A ($\exists u \in A^\times$ s.t. $J = u I u^{-1}$)
existence in the

Proof: Enough to show the theorem for
 $\bar{A} = A / \mathfrak{J}(\mathcal{O})A$ then lift the idempotents.

Existence comes from the fact that \bar{A} is
 finite dimensional over k .

Now consider two decompositions $\sum_{i \in I} i = e = \sum_{j \in J} e_j$.
 These give two direct sum decompositions of Ae :

$$\bigoplus_{i \in I} A_i = Ae = \bigoplus_{j \in J} A_j$$

Quotient out the Jacobson radical:

$$\bigoplus_{i \in I} A_i / \mathfrak{J}(A)_i = Ae / \mathfrak{J}(A)e = \bigoplus_{j \in J} A_j / \mathfrak{J}(A)_j$$

But $A_i / \mathfrak{J}(A)_i$ and $A_j / \mathfrak{J}(A)_j$ are simple $\forall i \in I$,
 $\forall j \in J$ (as A_i , resp A_j , are projective indecomp.)

By a corollary to Schur's lemma the decomp
 into simple submodules is unique up to
 permutation so $\exists \pi : I \rightarrow J$ bijective

$$\text{s.t. } A_i / \mathfrak{J}(A)_i \simeq A_{\pi(i)} / \mathfrak{J}(A)_{\pi(i)}$$

gives $A_i \simeq A_{\pi(i)}$ as $A_i, A_{\pi(i)}$ are proj. indec.

According to Proposition 1 (vi) the isomorphism $A_i \cong A_{\pi(i)}$ implies the existence of $e_i \in i A_{\pi(i)}$ and $d_i \in \pi(i) A_i$ such that $e_i \cdot d_i$ represents the identity on A_i so $e_i \cdot d_i = i$, and $d_i \cdot e_i$ represents the identity $A_{\pi(i)}$ so $d_i \cdot e_i = \pi(i)$.

Set $u := 1 - e + \sum_{i \in I} d_i$ $v := 1 - e + \sum_{i \in I} e_i$

We see that $u \cdot v = v \cdot u = 1$ and $u_i v = \pi(i)$
 (we use that $e_i \cdot d_j \in i A_{\pi(i)} \pi(j) A_j = \{0\}$ if $i \neq j$)
 □

Theorem 4 (Kruell-Schmidt) Let A be an \mathcal{O} -algebra which is finitely generated as \mathcal{O} -module and let U be a finitely generated A -module. Then U is a direct sum of finitely many indecomposable modules and this sum is unique up to permutation:

$$\bigoplus_{1 \leq i \leq n} U_i = U = \bigoplus_{1 \leq j \leq m} V_j \implies m = n \text{ and } U_i \cong V_{\pi(i)}$$

Proof: Giving a primitive decomposition of Id_U in $\text{End}_A(U)$ is equivalent to give a direct sum decomposition of U as an A -module. So the existence of the decomposition is proved.

Let $U = \bigoplus_{i \in I} U_i$ corresponding to the primitive decomposition $\text{Id}_U = \sum_{i \in I} \varphi_i$
 and $U = \bigoplus_{j \in J} U_j$ corresponding to $\text{Id}_U = \sum_{j \in J} \psi_j$

But then by Thm 3 $\exists \alpha \in \text{End}_A(U)$

such that $\varphi_i = \alpha \varphi_{\pi(i)} \alpha^{-1}$ for some permutation $\pi: I \rightarrow J$. But then $U_i \cong V_{\pi(i)}$ through α .

$$\begin{aligned} \varphi_i: U &\rightarrow U_i & \varphi_i(U_i) &= U_i \\ \varphi_j: U &\rightarrow V_j & \varphi_j(V_j) &= V_j \end{aligned}$$

$$U_i = \alpha \varphi_{\pi(i)} \alpha^{-1}(U) = \alpha \varphi_{\pi(i)}(V) = \alpha(V_{\pi(i)}).$$

□

Corollary 5. Let A be an \mathcal{O} algebra, finitely generated as an \mathcal{O} -module and let i, j be idempotents in A . We have $A_i \cong A_j$ as A -modules if and only if i, j are conj in A .

Proof: Supp $A_i \cong A_j$. Given that $A = A_i \oplus A(1-i)$ it follows that $A(1-i) \cong A(1-j)$. Thus,

$$\begin{aligned} A_i \cong A_j &\Leftrightarrow \exists c \in iA_j, d \in jA_i \quad cd = i, dc = j \\ A(1-i) \cong A(1-j) &\Leftrightarrow \exists c' \in (1-i)A(1-j), d' \in (1-j)A(1-i), \\ &\quad c'd' = (1-i), d'c' = (1-j). \end{aligned}$$

$$\begin{aligned} \text{Let } a = c + c' &\text{ then } aa' = 1 = a'a \\ a' = d + d' &\quad (cd' \in iA_j(1-j)A(1-i) = \{0\}) \end{aligned}$$

$$\text{Moreover } aja' = i \text{ and } a'a = 1$$

• Conversely if $aja' = i$ then

$$\begin{aligned} A_i &\longrightarrow A_j && \text{is an isom.} \\ b_i &\longmapsto (b_i) \cdot a = ba_j \end{aligned}$$

$$\begin{aligned} \text{of inverse } A_j &\longrightarrow A_i \\ e_j &\longmapsto (e_j) a' = ea_i. \end{aligned}$$

□

Corollary 6 : Let A be an \mathcal{D} -algebra, finitely generated as an \mathcal{D} -module. The

(i) The map $\{i \mid i \text{ primitive idemp}\} \rightarrow \{U \mid U \text{ proj indec}\}$
 $\downarrow \qquad \qquad \qquad \downarrow$
 $i \qquad \qquad \qquad A_i$
is a bij between the conj. classes of primitive idempotents and the isomorphism classes of projective indecomp. A -modules.

(ii) The map $\{i \mid i \text{ primitive idemp}\} \rightarrow \{S \mid S \text{ simple}\}$
 $\downarrow \qquad \qquad \qquad \downarrow$
 $i \qquad \qquad \qquad A_i / \mathcal{Y}(A)_i$
is a bij between the conj classes of primitive idempotents and the isomorphism classes of simple A -modules.

Proof : (exercise)

Theorem 7 (Lifting idempotents)

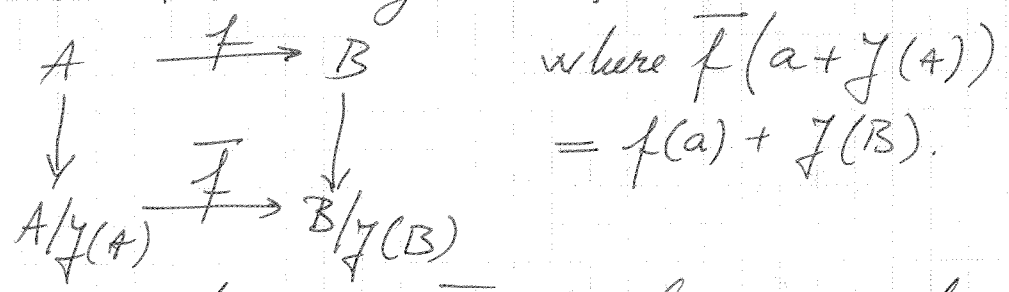
Let A, B be \mathcal{D} -algebras finitely generated as \mathcal{D} -modules and let $f: A \rightarrow B$ be a surjective algebra homomorphism. Then

- (i) f sends $\mathcal{J}(A)$ on $\mathcal{J}(B)$ and A^\times on B^\times
- (ii) \forall prim. idemp i in A , either $i \in \text{Ker}(f)$ or $f(i)$ is prim. idemp in B
- (iii) for any primitive idemp. j in B there exists a primitive idemp i in A such that $f(i) = j$.
- (iv) $i, i' \notin \text{Ker}(f)$ primitive idempotents in A are conj in A if and only if $f(i), f(i')$ are conj in B .

Proof :
 (i) • The preimage of any maximal ideal of B is a maximal ideal of A . So $f(\mathcal{J}(A)) \subseteq \mathcal{J}(B)$.

By Wedderburn's Theorem $A/\mathcal{J}(A) \cong \prod M_{n_i}(D_i)$
 Now $B/f(\mathcal{J}(A))$ is a quotient algebra of $A/\mathcal{J}(A)$
 Because $A/\mathcal{J}(A)$ is a product of simple algebras
 $B/f(\mathcal{J}(A))$ is also of the same type. So
 $\mathcal{J}(B) \subseteq f(\mathcal{J}(A))$ otherwise the image of
 $\mathcal{J}(B)$ in $B/f(\mathcal{J}(A))$ would be a non-zero nilp id.

• Consider the diagram :



f is surjective so \overline{f} is also surjective

Recall $A/\mathcal{J}(A) \cong \prod_{i \in I} M_{n_i}(D_i)$ and

$B/\mathcal{J}(B) \cong \prod_{i \in J} M_{n_i}(D_i)$ for some $J \subseteq I$.

Clearly if $x \in A^\times$, $f(x) \in B^\times$

Conversely take $y \in B^\times$. Then y is invertible in $B/\mathcal{J}(B)$. Write $y = (y_i)_{i \in J}$ in $\prod_{i \in J} M_{n_i}(D_i)$.

Define $\overline{x} \in A/\mathcal{J}(A)$ by $(x_i)_{i \in I}$ $x_i = y_i$ for $i \in J$ and $x_i = 1_{n_i}$ for $i \in I \setminus J$. Clearly

$\overline{x} \in (A/\mathcal{J}(A))^\times$. But by a standard argument $x \in A^\times$:

$$\overline{x} \in (A/\mathcal{J}(A))^\times \iff (A/\mathcal{J}(A)) \overline{x} = A/\mathcal{J}(A)$$

$$\iff Ax + \mathcal{J}(A) = A \iff Ax = A \iff x \in A^\times$$

← Nakayama.

Now $f(\bar{x}) = \bar{y}$ so $f(x) - y \in J(B)$.
 Thus $\exists c \in f(A)$ such that $f(c) = f(x) - y$,
 or, equivalently, $f(x - c) = y$.

Since $\overline{x - c} = \bar{x}$ the same argument implies that $x - c \in A^\times$.

(ii) i is a primitive idempotent in A such that $f(i) \neq 0$ then iAi is a local algebra $\Rightarrow f(iAi) = \frac{f(i)Bf(i)}{\text{quot. alg.}}$ is also a local algebra and thus $f(i)$ is primitive

(iii) I a primitive decomp of 1_A in A
 Then (i) $\Rightarrow f(I) = \{0\}$ is a primitive decomp of 1_B in B

So if j is another primitive idempotent then one has: $B = \bigoplus_{i \in I, f(i) \neq 0} Bf(i) = B_j \oplus B(\frac{1}{2} - j)$

So, by Krull-Schmidt theorem $\exists v \in B^\times$ such that $vjv^{-1} = f(i)$ for some i .

By (i) we get $u \in A^\times$, $f(u) = v$ and thus $u^i u^e$ is a primitive idempotent in A whose image is $v^{-1}f(i)v = j$.

(iv) let i, i' be primitive idempotents in $A \setminus \ker(f)$ such that $f(i), f(i')$ are conjugate in B .

Since f is surj, f induces surj map $Ai \rightarrow Bf(i)$ which, in future induces surj maps

$$Ai / J(A)i \longrightarrow B(f(i)) / J(B)f(i)$$

This maps is in fact an isomorphism since $Ai / J(A)i$ and $Bf(i) / J(B)f(i)$ are simple modules

(we consider $B f(i) / \gamma(B) f(i)$ as an A -module through a multiply by $f(a)$)

Hence $A_i / \gamma(A) i \cong B f(i) / \gamma(B) f(i)$

SI $f(i), f(i')$ are conj

$A_{i'} / \gamma(A) i' \cong B f(i') / \gamma(B) f(i')$

Hence $A_i \cong A_{i'}$ and i is conj to i' in A . \square

Corollary 8 Let A, B be \mathcal{O} algebras, fin. gene as \mathcal{O} -modules. Let I be an ideal in A and γ an ideal in B . Suppose that we have an \mathcal{O} -algebra homomorphism $f: A \rightarrow B$ such that $f(I) = \gamma$. Then

(i) For any primitive idempotent i in A either $i \in \ker(f)$ or $f(i)$ is a prim. idemp of B

(ii) The map sending $i \in A$ idemp to the A -mod $A_i / \gamma(A) i$ induces a bij between the set of conj el of prim idemp and the isom of simple A -modules.

(iii) $\forall j \in B$ idemp, $j \in \gamma$ there exists $i \in I$ idemp. such that $f(i) = j$

(iv) $i, i' \in A$ idemp are conj if and only if $f(i), f(i') \in B$ are conj.

Proof: replace B by $f(A)$ in previous thm.

$j \in f(A)$ prim. idemp. remains primitive in B : $j = j_1 + j_2$ then $j \cdot j_1 = j_1 \in \gamma$ and $j \cdot j_2 = j_2 \in \gamma$ then apply previous thm \square

Corollary 9 Let A be an \mathcal{O} -algebra, finitely generated as \mathcal{O} -module. Let $a \in A$ and let i be an idempotent in A . Then

- (i) We have $a \in A^\times$ if and only if $a + \mathfrak{J}(A) \in \left(\frac{A}{\mathfrak{J}(A)}\right)^\times$
 (ii) i is primitive in A if and only if $i + \mathfrak{J}(A)$ is primitive in $A/\mathfrak{J}(A)$

Proof:

(i) if $a \in A^\times$ then clearly $a + \mathfrak{J}(A) \in \left(\frac{A}{\mathfrak{J}(A)}\right)^\times$
 Conversely let $a + \mathfrak{J}(A) \in \left(\frac{A}{\mathfrak{J}(A)}\right)^\times$. Then $Aa + \mathfrak{J}(A) = A$ and by Nakayama's Lemma $Aa = A$

(ii) $\mathfrak{J}(A)$ contains no idempotents + apply Theorem 7

Recall $\mathfrak{J}(A)$ contains all nilpotent left and right ideals of A .

• If A is a finite dimensional k -algebra and M is finitely generated A -module then $\text{rad } M = \mathfrak{J}(A)M$

• If A is a finite dimensional k -algebra then $\mathfrak{J}(A)$ is nilpotent. Moreover this implies that every element of $\mathfrak{J}(A)$ is nilpotent as $Ia \subseteq \mathfrak{J}(A)$ and $(Ia)^n \subseteq \mathfrak{J}(A)^n = \{0\}$ for some n . Thus $\mathfrak{J}(A)$ contains no idempotent.

• Now any idempotent i of $\mathfrak{J}(A)$, in the case where A is a finitely generated \mathcal{O} -algebra, \mathcal{O} a commutative, complete, Noetherian, local ring, has an image in $\mathfrak{J}(A)/\mathfrak{J}(\mathcal{O})A = \mathfrak{J}(A/\mathfrak{J}(\mathcal{O})A) = \mathfrak{J}(\bar{A})$

But, by the previous remark, $\mathcal{J}(\bar{A})$ doesn't have any idempotent element so $\bar{i} = 0$ meaning that $i \in \mathcal{J}(\theta)A$ (This can also be seen by saying that $\mathcal{J}(A)^n \subseteq \mathcal{J}(\theta)A$).

But now if $i \in (\mathcal{J}(\theta)A)$ then $i^2 \in (\mathcal{J}(\theta)A)^2 \subseteq \mathcal{J}(\theta)^2 A$ (the multiplication is \mathcal{D} -bilinear)

So $i \in \mathcal{J}(\theta)^n A \quad \forall n \geq 1$ as i is idempotent hence $i \in \bigcap_{n \geq 1} \mathcal{J}(\theta)^n A = \{0\}$. \square

So to resume: If $\mathcal{J}(A)$ has an idempotent then its image in $\mathcal{J}(\bar{A}) = \mathcal{J}(A/\mathcal{J}(\theta)A)$ has to be zero, and thus it is contained in $\mathcal{J}(\theta)A$, which is a contradiction as $\mathcal{J}(\theta)A$ has no idempotent elements. \square

Corollary 10

Let A be an \mathcal{D} -algebra of finite generation as an \mathcal{D} -module and suppose that B is a subalgebra of A such that $A = B + \mathcal{J}(A)$.

Then every primitive idempotent i of B is primitive in A .

• i, j idempotents primitive in B are conjugate in B if and only if they are conjugate in A .

Proof:

$\forall!!!$ B is not a submodule of A so one cannot conclude that $B = A$!

Compose the surjection $A \longrightarrow A/\mathcal{J}(A)$ with.

the isomorphism $A/\mathcal{J}(A) \cong B/\mathcal{J}(A) \cap B$.

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Also we have $B \cap \mathcal{J}(A) \subseteq \mathcal{J}(B)$. So we are in position to apply Thm 9 again.

□

We now use the material developed in this section to give an alternate proof of Jordan-Hölder thm. Recall: S is simple $\Leftrightarrow \exists$ a primitive idempotent i in A such that $S \cong A_i/\mathcal{J}(A)_i$.

Thm 11 (Jordan-Hölder revisited)

Let A be a finite dimensional split algebra. Let U be a finitely generated A -module, S a simple A -module and i a primitive idempotent such that $S \cong A_i/\mathcal{J}(A)_i$.

The number of composition factors isomorphic to S in any composition series of U is equal to $\dim_{\mathbb{k}}(iU)$. In particular this number does not depend on the composition series.

Proof:

Recall: We have a \mathbb{k} -linear isomorphism

$$iU \cong \text{Hom}(A_i, U) \\ iu \mapsto (a_i \mapsto a_i u)$$

We do the proof by induction on the number of terms in a composition series of U (or on $\dim_{\mathbb{k}} U$).

• if there is only one term then U is simple

Then $\text{Hom}_A(A_i, U) = \begin{cases} 0 & \text{if } U \neq S \\ U & \text{if } U = S \end{cases}$

$$\text{Hom}_A(A_i, U) = \text{Hom}_A(S, U) \cong \mathbb{k}.$$

Indeed, any morphism $A_i \rightarrow U$ is either zero or has kernel $\mathcal{J}(A)_i$ and the last choice happens only if $A_i/\mathcal{J}(A)_i \cong S$.

Now let $U = U_0 \supseteq U_1 \supseteq \dots \supseteq U_n = \{0\}$ be a composition series for U . The second term in the sequence gives the short exact sequence:

$$0 \rightarrow U_1 \rightarrow U \rightarrow U/U_1 \rightarrow 0$$

Multiplying by i gives rise to the short exact sequence:
sequence: $0 \rightarrow iU_1 \rightarrow iU \rightarrow i(U/U_1) \rightarrow 0$

$$\begin{aligned} \text{Now } \dim_k(iU) &= \underbrace{\dim_k(iU_1)}_{\substack{= \# \text{ of factors} \\ \text{isomorphic to } S \\ \text{in a composition series of } U_1}} + \underbrace{\dim_k(i(U/U_1))}_{\substack{= 0 \text{ if } U/U_1 \not\cong S \\ = 1 \text{ if } U/U_1 \cong S}} \end{aligned}$$

The result follows \square

Def: Let I be a set of representatives of ^{primitive} conj. classes of idempotents in A (A is a fin-dim k alg.). The Cartan Matrix is given by $(\dim_k(iA_j))_{i,j \in I}$

nb of comp factors in A_j isomorphic to $S_i := A_i/\mathcal{J}(A)_i$ \leftarrow repres of isom classes of proj. indecomp A -modules

repres of isom classes of simple A -modules