## Groups, Group rings and the Yang-Baxter equation

Garside theory: state of the art and prospects

Cap Hornu (Baie de Somme)

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## Lecture Outline

Motivation



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Set-theoretic solutions



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Set-theoretic solutions

Strategy 1 to determine set-theoretic solutions and Problems

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Decomposability and Multipermutation Solutions: Strategy 2

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**Construction of Braces** 

# **Motivation**

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## Motivation

- Study of finitely presented algebras defined by homogeneous relations
- Study of (semi)group algebras
- Construction of algebras, monoids, with "nice" arithmetical structure
- Examples showing up in other areas, e.g. Yang-Baxter equation

In this talk: report on joint work with F. Cedo, J. Okninski and A. del Rio

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# **Set-theoretic solutions**

#### Set-theoretic solutions

V a finite dimensional vector space, with basis X $R: V \otimes V \rightarrow V \otimes V$ , a bijective linear map  $R_{ij}: V \otimes V \otimes V \rightarrow V \otimes V \otimes V$ , R acting on (i, j)-component

#### PROBLEM

Find all solutions R of the quantum Yang-Baxter equation

$$R_{12} R_{13} R_{23} = R_{23} R_{13} R_{12}.$$

#### PROBLEM: Drinfeld 1992

Find all solutions induced by a linear extension of

$$\mathcal{R}: X \times X \to X \times X.$$

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$$\tau: X \times X \to X \times X : (x, y) \mapsto (y, x)$$

 $\mathcal{R}$  is a set theoretic solution  $\Leftrightarrow r = \tau \circ \mathcal{R}$  is a solution of the braided equation  $r_{12} r_{23} r_{12} = r_{23} r_{12} r_{23}$ 

We write  $r: X \times X \to X \times X : (x, y) \mapsto = (\sigma_x(y), \gamma_y(x))$ . Such (X, r) (or r) is called a set-theoretic solution of the Yang-Baxter equation.

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*r* is involutive if  $r^2 = id$ .

A map r is left (right) non-degenerate if each  $\gamma_y$  (respectively  $\sigma_x$ ) is bijective.

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If X is finite then left=right non-degenerate for involutive set-theoretic solutions.

## Group Interpretation

#### Theorem

 $|X| = n < \infty$  and  $r : X \times X \to X$ .

If r is a non-degenerate involutive set-theoretic solution then for every  $f \in Sym_n$  there exists a bijection

$$v : \operatorname{FaM}_n = \langle u_1, \ldots, u_n \rangle \to S$$

where

$$S = \langle x_1, \ldots, x_n \mid x_i x_j = x_k x_l \text{ if } r(x_i, x_j) = (x_k, x_l) \rangle,$$

such that v(1) = 1,  $v(u_i) = x_{f(i)}$  and

$$\{v(u_1a),\ldots,v(u_na)\}=\{x_1v(a),\ldots,x_nv(a)\}$$

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for all  $a \in FaM_n$ . And conversely.

Such an S is called a monoid of *I*-type. It has a group of fractions G(X, r) called a group of *I*-type (or structural group).

$$G(X,r) = \langle x_1,\ldots,x_n \mid x_i x_j = x_k x_l \text{ if } r(x_i,x_j) = (x_k,x_l) \rangle.$$

## Theorem A monoid (resp. group) S is of I-type if and only if $S \cong \{(a, \sigma_a) \mid a \in \operatorname{FaM}_n\} \subset \operatorname{FaM}_n \rtimes \operatorname{Sym}_n$ (resp. $\subseteq$ Fa<sub>n</sub> $\rtimes$ Sym<sub>n</sub>) with $\sigma$ : Fa<sub>n</sub> $\rightarrow$ Sym<sub>n</sub>. $G(X,r) = S\{z^m \mid m \in \mathbb{Z}\}, \text{ with } z = (u, \sigma_u)^{|\sigma_u|}, \text{ where }$ $u = u_1 \cdots u_n$ .

 $K = \{(a, 1) \mid a \in Fa_n, \sigma_a = 1\}$  is a free abelian subgroup that is normal and of finite index.

 $G(X, r)/K \cong \{\sigma_a \mid a \in \operatorname{Fa}_n\} = \langle \sigma_{u_i} \mid 1 \le i \le n \rangle.$ notation:  $\mathcal{G}(X, r)$ , called involutive Yang-Baxter group(IYB).

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## Properties of groups of I-type

A group  $\mathcal{G}(X, r)$  of *I*-type has the following properties:

- abelian-by-finite
- torsion-free
- solvable (if nilpotent then torsion-free)

The group algebra K[G(X, r)] has nice arithmetical properties:

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- a domain
- noetherian, P.I., maximal order

#### **Proposition**

Let X be a finite set and  $r: X \times X \to X \times X : (x, y) \mapsto (\sigma_x(y), \gamma_y(x)).$ 

Then, (X, r) is a right non-degenerate involutive set-theoretic solution of the Yang-Baxter equation if and only if

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1. 
$$r^2 = id_{X^2}$$
,  
2.  $\sigma_x \in \operatorname{Sym}_X$ , for all  $x \in X$ ,  
3.  $\sigma_x \circ \sigma_{\sigma_x^{-1}(y)} = \sigma_y \circ \sigma_{\sigma_y^{-1}(x)}$ , for all  $x, y \in X$ .

# Strategy 1 to determine set-theoretic solutions and Problems

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Problem 1: Characterize groups of *I*-type.

Problem 1a: Classify involutive Yang-Baxter groups.

Problem 1b: Describe all groups of *I*-type that have a fixed associated IYB group.

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#### Theorem

- If G is IYB then its Hall subgroups are IYB.
- The class of IYB groups is closed under direct products.
- $A \rtimes H$  is IYB if A is finite abelian and H is IYB.
- If G is IYB and H is an IYB subgroup of Sym<sub>n</sub> then the wreath product of G and H is IYB.
- Any finite solvable group is isomorphic to a subgroup of an IYB.
- the Sylow subgroups of Sym<sub>n</sub> are IYB.
- Any finite nilpotent group is isomorphic to a subgroup of an IYB group.

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• Every finite nilpotent group of class 2 is IYB.

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#### Problem 2: Are finite solvable groups IYB?

# Decomposability and Multipermutation Solutions: strategy 2

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## Decomposability and Multipermutation Solutions

**Theorem** If G(X, r) is a group of I-type then

$$G(X,r)=G_{(1)}\cdots G_{(m)}$$

with

$$G_{(i)} = \{(a, \sigma_a) \mid a \in \langle u_j \mid u_j \in C_i\}$$

where

$$C_i = \{\sigma_a(u_i) \mid a \in \mathrm{Fa}_n\}$$

and

$$G_{(i)}G_{(j)} = G_{(j)}G_{(i)}.$$

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Rump: If G is square free then m > 1, i.e. G(X, r) is decomposable.

## Multipermutation Solutions

Let (X, r) be a non-degenerate involutive set-theoretic solution of the Yang-Baxter equation.

 $\sim$  equivalence relation on X defined by

$$x \sim y \Leftrightarrow \sigma_x = \sigma_y.$$

Induced solution

$$\operatorname{Ret}(X,r) = (X/\sim, \tilde{r})$$

with

$$\tilde{r}([x],[y]) = ([\sigma_x(y)],[\gamma_y(x)]),$$

where [x] denotes the  $\sim$ -class of  $x \in X$ . Smallest *m* nonnegative integer so that  $|\operatorname{Ret}^m(X, r)| = 1$  is called a multipermutation solution of level *m*; if it exists (solution is retractable). If X is finite and multipermutation solution then G(X, r) is a poly-(infinite cyclic).

Exist examples of groups of *I*-type that are are poly-(infinite cyclic) and thus not a multipermutation solution.

$$G = \langle x_1, x_2, x_3, x_4 \mid x_1 x_2 = x_3 x_3, x_2 x_1 = x_4 x_4,$$
$$x_1 x_3 = x_2 x_4, x_1 x_4 = x_4 x_2, x_2 x_3 = x_3 x_1, x_3 x_2 = x_4 x_1 \rangle$$
is of *I*-type with  $\mathcal{G}(X, r) = D_8$ .

Contains  $\langle x, y | x^{-1}y^2x = y^{-2}, y^{-1}x^2y = x^{-2} \rangle$  and it is not poly-infinite cyclic (not u.p. group).

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### Problems

Problem 3 (Gateva-Ivanova):

Every set-theoretic non-degenerate involutive square-free solution (X, r) of the Yang-Baxter equation of cardinality  $n \ge 2$  is a multipermutation solution of level m < n.

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Problem 4 (Gateva-Ivanova and Cameron):

Let (X, r) be a finite multipermutation square-free solution of the Yang-Baxter equation with |X| > 1 and multipermuation level m.

1. Can we find a lower bound for the solvable length of the group of *I*-type associated to (X, r) in terms of *m*?

2. Are there multipermutation square-free solutions (X, r) of arbitrarily high multipermutation level with an abelian *IYB* group  $\mathcal{G}(X, r)$ ?

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#### Theorem

Let (X, r) be a finite non-degenerate involutive set-theoretic solution of the Yang-Baxter equation. If its associated IYB group  $\mathcal{G}(X, r)$  is abelian, then (X, r) is a multipermutation solution.

#### Corollary

Let (X, r) be a finite non-degenerate involutive set-theoretic square-free solution of the Yang-Baxter equation. If its associated IYB group  $\mathcal{G}(X, r)$  is abelian, then (X, r) is a strong multipermutation solution, i.e. there exist  $\sigma_x = \sigma_y$  for some x and y in the same  $\mathcal{G}(X, r)$ -orbit.

#### Theorem

Let n be a positive integer. Then there exists a finite multipermutation square-free solution of the Yang-Baxter equation of multipermutation level n such that its associated IYB group is an elementary abelian 2-group. (Rump: non-square free examples)

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# Braces and the Yang-Baxter equation: strategy 3

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## Definition

A right brace is a set G with two operations + and  $\cdot$  such that (G, +) is an abelian group,  $(G, \cdot)$  is a group and

$$(a+b)c+c=ac+bc,$$

for all  $a, b \in G$ . Such a G is a two-sided brace if it is also a left brace, i.e.

$$a(b+c)+a=ab+ac,$$

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for all  $a, b, c \in G$ .

#### Proposition

If  $(G, +, \cdot)$  is a two-sided brace then (G, +, \*) is a radical ring (with a \* b = ab - a - b). Conversely, if  $(R, +, \cdot)$  is a radical ring then  $(R, +, \circ)$  is a two-sided brace (with  $a \circ b = ab + a + b$ ).

Note that the multiplicative identity 1 of  $(G, \cdot)$  is the same as the additive identity 0 of (G, +).

For  $a \in G$  let  $\lambda_a, \rho_a \in \operatorname{Sym}_G$ , such that

$$\rho_a(b) = ba - a \text{ and } \lambda_a(b) = ab - a.$$

If G is a left brace then  $\lambda_a$  is an automorphism of (G, +), and  $\lambda_{ab} = \lambda_a \lambda_b$ .

#### Lemma

Let G be a left brace. The following properties hold.

The set-theoretic solution of the Yang-Baxter equation (G, r) is called the solution of the Yang-Baxter equation associated to the left brace G.

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#### Proposition

A group G is the multiplicative group of a left brace if and only if there exists a group homomorphism  $\mu : G \longrightarrow \text{Sym}_G$  such that  $x\mu(x)^{-1}(y) = y\mu(y)^{-1}(x)$  for all  $x, y \in G$ .

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#### Corollary

A finite group G is an IYB group if and only if it is the multiplicative group of a finite left brace.

### **Theorem** Let (A, +) be an abelian group. Let

$$\mathcal{B}(A) = \{(A, +, \cdot) \mid (A, +, \cdot) \text{ is a left brace}\}$$

and

$$S(A) = \{G \mid G \text{ is a subgroup of } A \rtimes \operatorname{Aut}(A)$$
  
of the form  $G = \{(a, \phi(a)) \mid a \in A\}\}.$ 

The map  $f : \mathcal{B}(A) \to \mathcal{S}(A)$  defined by

$$f(A,+,\cdot) = \{(a,\lambda_a) \mid a \in A\}$$

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is bijective.

#### Proposition

A group G is of I-type if and only if it is isomorphic to the multiplicative group of a left brace B such that the additive group of B is a free abelian group with a finite basis X such that  $\lambda_x(y) \in X$  for all  $x, y \in X$ .

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# Braces, groups rings and the Yang-Baxter equation: strategy 4

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#### Proposition

(Sysak) Let G be a group. Then G is the multiplicative group of a left brace if and only if there exists a left ideal L of  $\mathbb{Z}[G]$  such that

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(i) the augmentation ideal  $\omega(\mathbb{Z}[G]) = G - 1 + L$  and (ii)  $G \cap (1 + L) = \{1\}.$ 

#### Proposition

Let G be a group. Then G is the multiplicative group of a two-sided brace if and only if there exists an ideal L of  $\mathbb{Z}[G]$  such that

(i) the augmentation ideal 
$$\omega(\mathbb{Z}[G]) = G - 1 + L$$
 and  
(ii)  $G \cap (1 + L) = \{1\}.$ 

Has implications for the integral isomorphism problem. It follows

$$U(\mathbb{Z}G) = (\pm G)H$$
 and  $\pm G \cap H = \{1\}$ 

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with  $H = (1 + L) \cap U(\mathbb{Z}G)$ .

If L is a two-sided ideal, then H is a normal subgroup and this is a normal complement in  $U(\mathbb{Z}G)$  of  $\pm G$ .

If G is a finite nilpotent group, then a positive answer to existence of a normal complement gives a positive answer for the integral group ring isomorphism problem, i.e. if  $\mathbb{Z}G \cong \mathbb{Z}G_1$  then  $G \cong G_1$ .

Positive answer for G of class two. In general it is an open problem (although ISO has a positive answer for nilpotent groups).

The counter example of Hertweck to ISO maybe indicates that a positive answer to complements is maybe not true in general.

## **Construction of Braces**

- Abelian groups
- Nilpotent groups of class 2 (Ault and Watters): they are the adjoint group of a radical ring
- Nilpotent groups of class 2 are the adjoint group of a radical ring of nilpotency class 3 in case
  - G/Z(G) is the weak direct product of cyclic groups
  - G/Z(G) is a torsion group
  - Every element of G' has a unique square root.
- Hales and Passi: previous not always true, but true if G/Z(G) is uniquely 2-divisible, or if G/N is torsion-free and a weak direct product of rank one groups for some normal subgroup N such that G' ⊆ N ⊆ Z(G). also true for H = {g<sup>2</sup>z | g ∈ G, z ∈ Z(G)} and associated solution of the Yang-Baxter equation to the brace H is square free.

- Exist nilpotent class 2 groups which admit a structure of a left brace that is not a right brace
- open problem: does any finite nilpotent group admit a structure of a left brace? (i.e. are they IYB groups?) They do not necessarily admit a two-sided brace as not all such groups are adjoint groups of radical rings.

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