

Dynamics and Keane's Theorem

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- 1 Motivations
- 2 Introduction to dynamical systems
- 3 Poincaré's recurrence theorem
- 4 Keane's theorem

Motivations and context

- K simplicial complex on a set S ,
- $|K|_1$ geometric realization with $|\alpha - \beta|_1 = \sum_{s \in S} |\alpha(s) - \beta(s)|$,
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Goal: study the orbits $\{f^n(x)\}_{n \in \mathbb{N}}$ for some $x \in X$.

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Take $X = \mathbb{F}_p$. By Fermat's little theorem, we have $Per(z^p, \mathbb{F}_p) = \mathbb{F}_p$ and $Per(z^{p-1}, \mathbb{F}_p) = \{0, 1\}$.

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→ Ergodicity and Birchoff's theorem.

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- $X = \{0, 1\}^{\mathbb{N}}$ and $T : (x_n)_{n \geq 0} \mapsto (x_{n+1})_{n \geq 0}$. This is known as the shift operator.

Poincaré's recurrence theorem

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We say that μ is a T -invariant measure (or, equivalently, T is a measure-preserving transformation) if $\mu(T^{-1}B) = \mu(B)$ for all $B \in \mathcal{B}$.

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Examples of maps preserving the measure

- $X = \mathbb{T} \simeq \mathbb{R}/\mathbb{Z}$, $T : x \mapsto x + \alpha \bmod 1$ with $\alpha \in \mathbb{R}$ and μ the Lebesgue measure. This is a rotation on the circle.

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We can now look at a remarkable result due to Poincaré !

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Theorem (Poincaré's Recurrence)

Let $T : X \rightarrow X$ be a measure preserving transformation of the probability space (X, \mathcal{B}, μ) . Let $B \in \mathcal{B}$ be such that $\mu(B) > 0$. Then for μ -a.e. $x \in B$, the orbit $\{T^n x\}_{n \in \mathbb{N}}$ returns to B infinitely often.

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Remark

That is to say that it exists $E \subset B$ with $\mu(E) = \mu(B)$ such that for every $x \in E$, it exists integers $n_1 < n_2 < \dots < n_j < \dots$ such that $T^{n_i} x \in E$ for all $i \geq 1$.

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Whence $\mu(F) = 0$ and $\mu(B \setminus E) = 0$.

References

To know more about problems and ideas in dynamics :

- J. Milnor, *Dynamics in One Complex Variable*.
- J. H. Silverman, *The Arithmetic of Dynamical Systems*.
- C. Walkden, *Ergodic Theory*.

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It uses $m(\Omega)$ finite and Ω is nonatomic. The idea is that we can find an increasing sequence of sets and a right-inverse to the measure m .

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$$E \Delta F := (E \setminus F) \cup (F \setminus E) = (E \cup F) \setminus (E \cap F).$$

- Commutative, associative, $E \Delta \emptyset = E$ and $E \Delta E = \emptyset$,
- $E \cap (F_1 \Delta F_2) = (E \cap F_1) \Delta (E \cap F_2)$,
- $E \Delta (F_1 \cup F_2) \subset (E \Delta F_1) \cup (E \Delta F_2)$ with equality if F_1 and F_2 are disjoint,

Keane's theorem

Goal : Find $\varphi : B \times G \rightarrow G$ continuous. Then, set

$\theta(t, T) = \varphi(\Psi(t), T)$ for $(t, T) \in [0, 1] \times G$.

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- $f^{-1}(E \Delta F) = f^{-1}(E) \Delta f^{-1}(F)$ for any function $f : B \rightarrow B$.

Keane's theorem

Togology on G :

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Remark

Ω_k depends continuously on (E, T) .

Lemma

The map $\varphi : B \times G \rightarrow G$ defined by $\varphi(E, T) = T_E$ is continuous.

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Fix $F \in B$ and $(E^*, T^*) \in B \times G$.

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The statement is true for both topologies on G .

Sketch of the proof for the weak topology on G :

Fix $F \in B$ and $(E^*, T^*) \in B \times G$. Choose $\varepsilon > 0$.

Take an integer k_0 large enough such that

$$m\left(\bigcup_{k > k_0} \Omega_k^*\right) < \varepsilon.$$

Proof

Let N be a *weak* neighborhood of (E^*, T^*) such that for each $(E, T) \in N$ and each $1 \leq k \leq k_0$,

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In the following, let $A_{k_0} = \bigcup_{k=1}^{k_0} \Lambda_k$ and $A_{k_0}^c = \bigcup_{k > k_0} \Lambda_k$.

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In the following, let $A_{k_0} = \bigcup_{k=1}^{k_0} \Lambda_k$ and $A_{k_0}^c = \bigcup_{k > k_0} \Lambda_k$.

Remember that $m(A_{k_0}^c) < \varepsilon$.

Keane's theorem

$$\begin{aligned} m(T_E(F) \Delta T_{E^*}^*(F)) &\leq m\left(T_E\left(F \cap \sum_{k=1}^{k_0} \Lambda_k\right) \Delta T_{E^*}^*\left(F \cap \sum_{k=1}^{k_0} \Lambda_k\right)\right) + 2\epsilon \\ &\leq 2\epsilon + \sum_{k=1}^{k_0} m(T^k(F \cap \Lambda_k) \Delta T^{*k}(F \cap \Lambda_k)). \end{aligned}$$

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 m(T_E(F) \Delta T_{E^*}^*(F)) &= m\left(\overbrace{[T_E(F) \Delta T_{E^*}^*(F)] \cap T_E(A_{k_0}^{\mathcal{C}})}^{\subset T_E(A_{k_0}^{\mathcal{C}})}\right) \\
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 m(T_E(F) \Delta T_{E^*}^*(F)) &= \overbrace{m\left([T_E(F) \Delta T_{E^*}^*(F)] \cap T_E(A_{k_0}^c)\right)}^{\leq \epsilon} \\
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$$\begin{aligned} m(T_E(F) \Delta T_{E^*}^*(F)) &\leq m\left(T_E\left(F \cap \sum_{k=1}^{k_0} \Lambda_k\right) \Delta T_{E^*}^*\left(F \cap \sum_{k=1}^{k_0} \Lambda_k\right)\right) + 2\epsilon \\ &\leq 2\epsilon + \sum_{k=1}^{k_0} m(T^k(F \cap \Lambda_k) \Delta T^{*k}(F \cap \Lambda_k)). \end{aligned}$$

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Now, doing the same steps using $T_{E^*}^*(A_{k_0})$ and $T_{E^*}^*(A_{k_0}^{\mathbb{C}})$

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$$m(T_E(F) \Delta T_{E^*}^*(F)) \leq 2\varepsilon + m\left(T_E(F \cap A_{k_0}) \Delta T_{E^*}^*(F \cap A_{k_0})\right)$$

On Λ_k , $T_E = T^k$ and $T_{E^*}^* = T^{*k}$ and the measure is subadditive.

$$\begin{aligned} m(T^k(F \cap \Lambda_k) \triangle T^{*k}(F \cap \Lambda_k)) &\leq m(T^k(F \cap \Lambda_k) \triangle T^k(F \cap \Omega_k^*)) \\ &\quad + m(T^k(F \cap \Omega_k^*) \triangle T^{*k}(F \cap \Omega_k^*)) \\ &\quad + m(T^{*k}(F \cap \Omega_k^*) \triangle T^{*k}(F \cap \Lambda_k)), \end{aligned}$$

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Now, using the preservation of the measure, we may observe that the first and third terms have the same weight !

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Now, using the preservation of the measure, we may observe that the first and third terms have the same weight ! The second term is controlled by the estimate given by our choice of the neighborhood N .

Keane's theorem

$$\begin{aligned} m(T_E(F) \triangle T_{E^*}^*(F)) &\leq 2\epsilon + k_0 \cdot \frac{\epsilon}{k_0} + 2 \sum_{k=1}^{k_0} m((F \cap \Lambda_k) \triangle (F \cap \Omega_k^*)), \\ &\leq 3\epsilon + 2m\left(\sum_{k=1}^{k_0} \Lambda_k \triangle \sum_{k=1}^{k_0} \Omega_k^*\right) \leq 5\epsilon. \end{aligned}$$

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It suffices to understand the last "sum".

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Note that $(F \cap \Lambda_k) \Delta (F \cap \Omega_k^*) = F \cap (\Lambda_k \Delta \Omega_k^*)$

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It suffices to understand the last "sum".

Note that $(F \cap \Lambda_k) \Delta (F \cap \Omega_k^*) = F \cap (\Lambda_k \Delta \Omega_k^*)$ and $(\Omega_k^*)_{1 \leq k \leq k_0}$ are pairwise disjoint (same goes for $(\Lambda_k)_{1 \leq k \leq k_0}$).

Keane's theorem

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→ We will see that Ψ is a Serre fibration in the next talk.

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Thank you !