# ON THE IDEAL GENERATED BY THE VALUES OF A POLYNOMIAL

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## 1. INTRODUCTION

Let D be a Dedekind domain with quotient field K and let

(1) 
$$f = \sum_{k=0}^{n} a_k X^k \in K[X]$$

be a polynomial of degree n. We denote by  $\mathcal{C}(f)$  the content of f, that is, the ideal of D generated by the coefficients of f and by  $\mathcal{D}(f)$  the divisor of f, that is, the ideal generated by the values of f on D.

In this introduction we assume that f is primitive, that is, C(f) = D. When  $f \in \mathbb{Z}[X]$ , it is well known that the gcd of the values of f on  $\mathbb{Z}$  divides n!. For Dedekind domains, this result was generalized by Pólya [8, §4] in the following way (see also [5, II.3.3]): the ideal  $\mathcal{D}(f)$  divides the  $n^{\text{th}}$  factorial ideal  $n!_D$  where  $n!_D$  is defined by

(2) 
$$n!_D = \prod_{\mathfrak{m}\in\max(D), \ N(\mathfrak{m})\leq n} \mathfrak{m}^{w_{N(\mathfrak{m})}(n)}$$

with

(3) 
$$N(\mathfrak{m}) = \operatorname{Card}(D/\mathfrak{m})$$

and

(4) 
$$w_q(n) = \sum_{l \ge 1} \left[ \frac{n}{q^l} \right]$$

Writing the ideal  $\mathcal{D}(f)$  in the following form

(5) 
$$\mathcal{D}(f) = \prod_{\mathfrak{m}\in\max(D)} \mathfrak{m}^{d_{\mathfrak{m}}(f)},$$

this divisibility relation may be written as inequalities. For each maximal ideal  $\mathfrak m$  of D, one has:

(6) 
$$d_{\mathfrak{m}}(f) \le w_{N(\mathfrak{m})}(n).$$

The aim of this paper is to state another divisibility relation making use of the number of coefficients of f not belonging to  $\mathfrak{m}$  instead of the degree of f. More precisely, let

(7) 
$$\mu_{\mathfrak{m}}(f) = \operatorname{Card} \{ a_k \mid a_k \notin \mathfrak{m} \},$$

we are going to prove that

(8) 
$$d_{\mathfrak{m}}(f) < \mu_{\mathfrak{m}}(f).$$

But this inequality holds only for small values of  $n = \deg(f)$ , namely:

(9) 
$$\deg(f) \le char(D/\mathfrak{m}) \times (N(\mathfrak{m}) - 1).$$

Vâjâitu [9, Theorem 2] proved Inequality (8) when  $D = \mathbb{Z}$ . Here, we generalize it to every Dedekind domain D (Proposition 4.1). Then, we extend it to the ideal  $\mathcal{D}(f, E)$  generated by the values of f on a subset E of D when D = V is a discrete valuation domain (Proposition 5.4).

## 2. Technical preliminaries

Notation. For every polynomial f, we denote by  $\mu(f)$  the number of nonzero coefficients of f.

The following technical result is implicitly contained in the proof of [9, Thm 2].

**Lemma 2.1.** Let k be a field with characteristic p > 0 such that  $k^p = k$  (for instance, a finite field). Let  $f \in k[X]$  be a nonzero polynomial and let  $z \in k$  be a nonzero root of f with multiplicity m. If m < p, then  $m < \mu(f)$ .

In order to prove this lemma we associate to every polynomial f an integer  $s(f) < \mu(f)$  defined by means of the following algorithm:

## Algorithm A.

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\begin{split} s \leftarrow 0 \;,\; f_s \leftarrow f \\ \text{while} \; \mu(f_s) > 1 \; \text{do} \\ \text{begin} \\ s \leftarrow s + 1 \\ f_s \leftarrow \left(\frac{f_s}{X^{v_X(f_s)}}\right)' \\ \text{end} \\ s(f) = s \end{split}
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where  $v_X(g)$  denotes the least degree of the monomials of g and the symbol ' denotes the formal derivation of polynomials.

It is clear that the procedure is finite since, for every  $g \neq 0$ , one has:

$$\mu\left(\left(\frac{g}{X^{v_X(g)}}\right)'\right) < \mu(g).$$

Consequently,

$$s(f) < \mu(f).$$

*Proof.* of Lemma 2.1. Assume that  $m \ge \mu(f)$ . Then z is a root of all the polynomials  $f_s$  constructed in Algorithm A with multiplicity

$$m-s \ge m-s(f) > m-\mu(f) \ge 0.$$

By definition of s(f), one has  $\mu(f_{s(f)}) \leq 1$ . If  $\mu(f_{s(f)}) = 1$ , then  $f_{s(f)}$  is of the form  $bX^h$  and z cannot be a root of  $f_{s(f)}$ . Consequently,  $f_{s(f)} = 0$ , and hence,

$$f_{s(f)-1}(X) = b_1 X^{h_1} + \ldots + b_l X^{h_l}$$

with

$$l \geq 2, b_j \in k^*, h_1 < h_2 < \cdots < h_l, h_j - h_1 = pm_j \text{ with } m_j \in \mathbb{N}^*.$$
  
By hypothesis, for  $j = 1, \ldots, l, b_j = c_j^p$  with  $c_j \in k^*$ . Thus,

$$f_{s(f)-1}(X) = X^{h_1} \sum_{j=1}^{l} c_j^p X^{pm_j} = X^{h_1} \left( \sum_{j=1}^{l} c_j X^{m_j} \right)^p.$$

Since z is a root of  $f_{s(f)-1}$ , z is a root of  $\sum_{j=1}^{l} c_j X^{m_j}$ . Consequently, the multiplicity of z as a root of  $f_{s(f)-1}$  is a nonzero multiple of p. But this multiplicity is m - s(f) + 1, so  $m \ge p$ , which contradicts the hypothesis.

**Corollary 2.2.** Let k be a field of characteristic p > 0 such that  $k^p = k$  and let f be a nonzero polynomial in k[X]. If  $x \in k$  is a root of f with multiplicity  $m_x < p$  then, for every  $y \in k$ ,  $y \neq x$ , one has :

$$m_x < \mu(f(X+y)).$$

*Proof.* It suffices to use Lemma 2.1 with the polynomial g(X) = f(X + y) and its root z = x - y.

#### 3. Polynomials with coefficients in a discrete valuation domain

Hypotheses and notation for Section 3. Let V be a discrete valuation domain with finite residue field. Denote by K the quotient field of V, v the corresponding valuation of K,  $\mathfrak{m}$  the maximal ideal of V,  $\pi$  a generator of  $\mathfrak{m}$ ,  $k = V/\mathfrak{m}$  the residue field, p the characteristic of k, and  $q = p^f$  its cardinality.

For every nonzero polynomial

(10) 
$$f(X) = \sum_{i=0}^{n} a_i X^i \in K[X],$$

we consider the following integers:

(11) 
$$v(f) = \inf_{0 \le i \le n} v(a_i).$$

(12) 
$$d(f) = \inf_{a \in V} v(f(a)),$$

(13) 
$$\nu(f) = \#\{i \mid v(a_i) = v(f)\}$$

Note that  $\nu(f) = \mu(\tilde{f})$  where  $\tilde{f}$  denotes the image of  $\frac{1}{\pi^{v(f)}}f$  in k[X].

Clearly,

(14) 
$$v(f) \le d(f),$$

and we also know that (see for instance [8] or [5, Corollary II.2.13])

(15) 
$$d(f) \le v(f) + w_q(\deg(f))$$

where  $w_q$  is defined by:

(16) 
$$w_q(n) = \sum_{l \ge 1} \left\lfloor \frac{n}{q^l} \right\rfloor$$

In particular, if  $\deg(f) < q$ , then d(f) = v(f). Here we prove another inequality for d(f):

**Proposition 3.1.** With the previous hypotheses and notation, for every nonzero polynomial f in K[X] such that

(17) 
$$\deg(f) \le p(q-1) + 1,$$

one has:

(18)

$$v(f) \le d(f) < v(f) + \nu(f)$$

*Proof.* We may replace f by  $\pi^{-\nu(f)}f$  and assume that f is primitive in V[X], that is,  $\nu(f) = 0$ . Note that  $\nu(f) \ge 1$  and, if  $\nu(f) = 1$ , then necessarily d(f) = 0. Consequently, one may also assume that  $d(f) \ge 2$ .

First, we recall some classical results concerning the values of a polynomial. Let  $u_0 = 0, u_1, \ldots, u_{q-1}$  be a complete system of representatives of V modulo **m**. We extend the sequence  $u_r$  in the following way: for

$$r = r_0 + r_1 q + \ldots + r_l q^l$$
 where  $0 \le r_i < q$ ,

we let

$$u_r = u_{r_0} + u_{r_1}\pi + \ldots + u_{r_l}\pi^l.$$

Clearly, the following sequence of polynomials

$$g_i(X) = \prod_{j=0}^{i-1} \left( X - u_j \right), \ i \in \mathbb{N}$$

is a basis of the V-module V[X]. Then let

$$f(X) = \sum_{i=0}^{n} b_i g_i(X)$$
 with  $b_i \in V$ .

We know, and this is easy to check, that the ideal generated by the values of f on V is equal to the ideal generated by the values of f on  $u_0, u_1, \ldots, u_n$  where  $n = \deg(f)$  [5, Corollary II.2.9], that is, the ideal generated by the  $b_i \prod_{j < i} (u_i - u_j)$  for  $0 \le i \le n$ . Since  $v(\prod_{j < i} (u_i - u_j)) = w_q(i)$  [5, Lemma II.2.6], one has:

$$d(f) = \inf_{0 \le i \le n} (v(b_i) + w_q(i)).$$

Let  $i_0$  be the least integer *i* such that  $v(b_i) = 0$  (*f* is assumed to be primitive). The hypothesis on  $n = \deg(f)$  implies that

$$\left[\frac{i_0}{q^2}\right] \leq \frac{i_0}{q^2} < \frac{i_0}{p(q-1)} \leq \frac{i_0}{n} \leq 1,$$

and hence,

$$d(f) \le w_q(i_0) = \sum_{l \ge 1} \left[\frac{i_0}{q^l}\right] = \left[\frac{i_0}{q}\right] \le \frac{i_0}{q}$$

Finally,

$$i_0 \ge q \ d(f).$$

We denote by  $\overline{b}$  the canonical image in k of an element b of V and by  $\overline{g}$  the canonical image in k[X] of an element g of V[X]. It follows from the choice of  $i_0$  and from the construction of the  $g_i$ 's that

$$\overline{f}(X) = \sum_{i=0}^{n} \overline{b_i} \overline{g_i}(X) = \sum_{i=i_0}^{n} \overline{b_i} \overline{g_i}(X) = \overline{g_{i_0}}(X) \overline{h}(X) \text{ where } \overline{h}(X) \in k[X].$$

Since  $\overline{u}_r = \overline{u}_s$  as soon as q divides r - s and  $i_0 \ge d(f)$ , the q elements of  $k = V/\mathfrak{m}$  are roots of  $\overline{g_{i_0}}$ , and hence of  $\overline{f}$ , with multiplicity  $\ge d(f)$ . On the other hand, there exists at least one root of  $\overline{f}$  in  $k^*$  with a multiplicity < p since otherwise we would have:

$$n = \deg(f) \ge \deg(\overline{f}) \ge d(f) + (q-1)p \ge 2 + (q-1)p > n$$

Thus, there exists a root  $z \in k^*$  of  $\overline{f}$  with a multiplicity m such that  $d(f) \leq m < p$ . It follows from Lemma 2.1 that  $m < \mu(\overline{f})$ , and hence,  $d(f) < \nu(f)$ .

**Example 3.2.** Let p be a prime number. For

$$f(X) = X(X^{(p-1)(q-1)} - 1) + \pi,$$

one has

$$d(f) = 1 = \nu(f) - 1$$

while

$$w_q(n) = p - 2 \ (> \nu(f) - 1 \text{ as soon as } p \ge 5 \ ).$$

Let us introduce another notation: for each  $a \in V$ , let  $\nu_a(f) = \nu(f(X + a))$ . In particular,  $\nu_0(f) = \nu(f)$ . Let

$$\tilde{\nu}(f) = \inf_{a \in V} \nu_a(f) = \inf_{a \in V} \nu(f(X+a)).$$

Of course, v(f(X)) = v(f(X + a)) and d(f(X)) = d(f(X + a)). Consequently,

**Corollary 3.3.** If  $\deg(f) \le p(q-1) + 1$ , then

(19) 
$$v(f) \le d(f) < v(f) + \tilde{\nu}(f)$$

**Example 3.4.** Let p be a prime number  $\geq 5$ , let  $V = \mathbb{Z}_{(p)}$ , and let  $f(X) = (X-1)^{p-1} - 1$ . Then, on the one hand, for every  $a \in \mathbb{Z}$ ,

$$f(X+a) \equiv (X+(a-1))^{p-1} - 1 \pmod{p}$$

If  $a \not\equiv 1 \pmod{p}$ , then  $\nu_a(f) = p - 1$ . If  $a \equiv 1 \pmod{p}$ , then  $\nu_a(f) = 2$ . On the other hand, f(1) = -1, and hence, d(f) = 0. Finally,

$$d(f) = 0 < \tilde{\nu}(f) - 1 = 1 < \nu(f) - 1 = p - 2.$$

Thus, we may have strict inequalities. Nevertheless:

*Remark.* For  $n \leq p(q-1) < q^2$ , one has  $w_q(n) = [\frac{n}{q}] \leq p-1$ , and hence, it follows from Inequalities (15) and (18) that, if  $f \in V[X]$  is primitive of degree

(20) 
$$n \le p(q-1) + 1,$$

then

(21) 
$$d(f) \le \min\left(\left[\frac{n}{q}\right], \nu(f) - 1\right)$$

Moreover, both inequalities are sharp. Inequality (20) is sharp as shown by the following example:

$$f(X) = X^2 (X^{q-1} - 1)^p$$
,  $\deg(f) = p(q-1) + 2$ ,  $\nu(f) \le 2$ ,  $d(f) = 2$ .

Inequality (21) is sharp in the following sense: for every integer  $\nu$  between 1 and p, there exists a polynomial f primitive in V[X] of degree  $n \leq p(q-1) + 1$  such that  $d(f) = \nu(f) - 1 = \nu - 1$ :

For  $0 \le k \le p-1$ , the polynomial  $f_k(X) = (X^q - X)^k$  satisfies  $d(f_k) = k$  and  $\nu(f_k) = k+1$  with  $\deg(f_k) = kq \le (p-1)q \le p(q-1)+1$ .

## 4. POLYNOMIALS WITH COEFFICIENTS IN A DEDEKIND DOMAIN

Now we globalize the previous results.

Hypotheses and notation for section 4. Let D be a Dedekind domain with quotient field K. For every maximal ideal  $\mathfrak{m}$  of D, we denote by  $v_{\mathfrak{m}}$  the corresponding valuation of K, by  $p_{\mathfrak{m}}$  the characteristic of the residue field  $D/\mathfrak{m}$ , and by  $q_{\mathfrak{m}}$  its cardinality (finite or infinite).

For every polynomial

(22) 
$$f = \sum_{i=0}^{n} a_i X^i \in K[X],$$

C(f) denotes the *content* of f, that is, the fractional ideal of D generated by the coefficients of f and  $\mathcal{D}(f)$  denotes the *divisor* of f, that is, the fractional ideal generated by the values of f on D.

For every maximal ideal  $\mathfrak{m}$  of D, we introduce the following integers:

(23) 
$$v_{\mathfrak{m}}(f) = \inf_{0 \le i \le n} v_{\mathfrak{m}}(a_i),$$

(24) 
$$d_{\mathfrak{m}}(f) = \inf_{a \in D} v_{\mathfrak{m}}(f(a)),$$

(25) 
$$\nu_{\mathfrak{m}}(f) = \#\{i \mid v_{\mathfrak{m}}(a_i) = v_{\mathfrak{m}}(f)\}.$$

Obviously,

(26) 
$$\mathcal{C}(f) = \prod_{\mathfrak{m} \in \max(D)} \mathfrak{m}^{v_{\mathfrak{m}}(f)}$$

(27) 
$$\mathcal{D}(f) = \prod_{\mathfrak{m}\in\max(D)} \mathfrak{m}^{d_{\mathfrak{m}}(f)}$$

Clearly, the ideal  $\mathcal{C}(f)$  divides the ideal  $\mathcal{D}(f)$  and it is known [5, Proposition II.3.3] that  $\mathcal{D}(f)$  divides  $\mathcal{C}(f) \times n!_D$  where the ideal  $n!_D$  is defined by Formula (2), in other words, for every maximal ideal  $\mathfrak{m}$  of D, analogously to Formulas 14 and 15, one has the inequalities:

(28) 
$$v_{\mathfrak{m}}(f) \le d_{\mathfrak{m}}(f) \le v_{\mathfrak{m}}(f) + w_{N(\mathfrak{m})}(\deg(f))$$

where  $N(\mathfrak{m})$  and  $w_{N(\mathfrak{m})}$  are defined by Formulas (3) and (4).

Proposition 3.1 may be globalized in the following way:

**Proposition 4.1.** Let D be a Dedekind domain with quotient field K and let  $f \in K[X]$  be a nonzero polynomial of degree n. With the previous notation, for every maximal ideal  $\mathfrak{m}$  of D such that

(29) 
$$n \le p_{\mathfrak{m}}(q_{\mathfrak{m}} - 1) + 1,$$

one has:

(30) 
$$d_{\mathfrak{m}}(f) < v_{\mathfrak{m}}(f) + \nu_{\mathfrak{m}}(f)$$

This proposition is the extension of Theorem 2 of Vâjâitu [9] from  $\mathbb{Z}$  to every Dedekind domain D. Theorem 3 of [9] corresponds to the first example below.

**Examples 4.2.** In these three examples, f denotes a polynomial of degree n primitive in D[X].

1) Let  $D = \mathbb{Z}$  and denote by  $\mathbb{P}$  the set of prime integers. Then, a generator of  $\mathcal{D}(f)$  divides the integer

$$\prod_{p \in \mathbb{P}, \, p < \sqrt{n - \frac{3}{4}} + \frac{1}{2}} p^{w_p(n)} \times \prod_{p \in \mathbb{P}, \, \sqrt{n - \frac{3}{4}} + \frac{1}{2} \le p \le n} p^{\min([\frac{n}{p}], \nu_p(f) - 1)}.$$

2) Let  $D = \mathbb{Z}[i]$ ,  $p_0 = \inf\{p \in \mathbb{P} \mid p(p^2 - 1) + 1 \ge n\}$  and  $p_1 = \inf\{p \in \mathbb{P} \mid p(p-1) + 1 \ge n\}$ . For each  $p \in \mathbb{P}$ ,  $\nu_p(f)$  denotes the number of coefficients of f that are not divisible by p. Then, the ideal  $\mathcal{D}(f)$  divides the following ideal of  $\mathbb{Z}[i]$ 

$$\mathbb{Z}[i] \ (1+i)^{w_2(n)} \times \prod_{p \equiv 1} \prod_{(4), p < p_1} p^{w_p(n)} \times \prod_{p \equiv 1} \prod_{(4), p_1 \le p \le n} p^{\inf([\frac{n}{p}], \nu_p(f) - 1)} \times \prod_{p \equiv 3} \prod_{(4), p < p_0} p^{w_{p^2}(n)} \times \prod_{p \equiv 3} \prod_{(4), p_0 \le p \le n} p^{\inf([\frac{n}{p^2}], \nu_p(f) - 1)}.$$

3) Let  $D = \mathbb{F}_q[T]$  and denote by  $\mathbb{P}_q$  the set of monic irreducible polynomials of  $\mathbb{F}_q[T]$ . For  $\mathfrak{m} = (Q)$  where  $Q \in \mathbb{P}_q$ , one has  $q_\mathfrak{m} = q^{\deg(Q)}$ . Then, a generator of  $\mathcal{D}(f)$  divides the polynomial

$$\prod_{\deg(Q)<\frac{\ln n}{\ln q}-\frac{\ln \frac{pn}{n+p-1}}{\ln q}} Q^{w_{q^{\deg Q}}(n)} \times \prod_{\frac{\ln n}{\ln q}-\frac{\ln \frac{pn}{n+p-1}}{\ln q}\leq \deg(Q)\leq \frac{\ln n}{\ln q}} Q^{\min\left(\left[\frac{n}{q^{\deg(Q)}}\right],\nu_Q(f)-1\right)}$$

*Remark.* Recall Theorem 1 of [9]: if the characteristic of D is 0 and if  $f \in D[X]$  is primitive with degree n and leading coefficient a, then

$$Card(D/\mathcal{D}(f)) \leq Card(D/(a.n!D)^{n2^{n+1}})$$

In fact, we have just recalled a stronger result:  $\mathcal{D}(f)$  divides  $n!_D$  and  $n!_D$  divides n!D because of the containment  $\mathbb{Z} \subseteq D$ . Thus,  $\mathcal{D}(f)$  divides n!D, and hence,

$$Card(D/\mathcal{D}(f)) \leq Card(D/n!D).$$

### 5. The ideal generated by the values on a subset

In this paragraph we extend the previous results to the ideal  $\mathcal{D}(f, E)$  generated by the values of a polynomial  $f \in K[X]$  on a subset E of D. We will give the statement for the main result (Proposition 5.4) in the case when D is a discrete valuation domain. Then, by using a specific example, we will show what happens in the more general case of a Dedekind domain. Hypotheses and notation for section 5 are those of Section 3. Hence, the domain D = V is a discrete valuation domain, and we denote by E a subset of V. We introduce the integer

(31) 
$$d(f,E) = \inf_{x \in E} v(f(x)).$$

Obviously,

(32) 
$$\mathcal{D}(f,E) = \mathfrak{m}^{d(f,E)}.$$

**Definition 5.1** ([1], [2]). A v-ordering of E is a sequence  $\{u_k\}_{k\in\mathbb{N}}$  of elements of E such that, for every  $s \ge 1$ , one has

$$v\left(\prod_{t=0}^{s-1}(u_s-u_t)\right) = \inf_{x\in E} v\left(\prod_{t=0}^{s-1}(x-u_t)\right)$$

There always exist v-orderings and, for every  $s \ge 1$ , the integer

(33) 
$$w_E(s) = v \left( \prod_{t=0}^{s-1} (u_s - u_t) \right)$$

does not depend on the choice of the v-ordering  $\{u_s\}_{s\in\mathbb{N}}$  of E (see for instance [1] or [6]).

**Definition 5.2.** The  $s^{\text{th}}$  factorial ideal of E with respect to V is the ideal

$$s!^V_E = \mathfrak{m}^{w_E(s)}$$

It is easy to see that if  $E \subseteq F \subseteq V$ , then  $s!_F^V$  divides  $s!_E^V$ , that is,

(34) 
$$E \subseteq F \Rightarrow w_E(s) \ge w_F(s) \quad \forall s \in \mathbb{N}.$$

In particular,

(35) 
$$w_E(s) \ge w_q(s) \quad \forall s \in \mathbb{N}.$$

Let  $\{u_s\}_{s\in\mathbb{N}}$  be a v-ordering of E and, for  $i\in\mathbb{N}$ , let

$$g_i(X) = \prod_{s=0}^{i-1} (X - u_s).$$

Then, every polynomial  $f \in K[X]$  of degree n may be written in the following way:

$$f(X) = \sum_{i=0}^{n} b_i g_i(X)$$
 with  $b_i \in K$ .

It is known (and this is easy to check) that  $\mathcal{D}(f, E)$  is also the ideal generated by the values  $f(u_0), f(u_1), \ldots, f(u_n)$  [6, Corollary 2.8]. Thus,  $\mathcal{D}(f, E)$  is generated by the  $b_i \prod_{j < i} (u_i - u_j)$  ( $0 \le i \le n$ ). Consequently,

(36) 
$$d(f, E) = \inf_{0 \le i \le n} (v(b_i) + w_E(i)).$$

In particular,

$$d(f, E) \le \inf_{0 \le i \le n} v(b_i) + \sup_{0 \le i \le n} w_E(i),$$

that is,

**Proposition 5.3.** For every  $f \in K[X]$  with degree n, one has:

(37) 
$$d(f,E) \le v(f) + w_E(n),$$

where d(f, E), v(f), and  $w_E(n)$  are defined by (31), (11) and (33).

This well-known inequality generalizes Inequality (15). But our next goal is to extend Inequality (18) under some condition on the degree n of f.

**Proposition 5.4.** Let E be a subset of V which contains at least  $r \ge 2$  distinct classes modulo  $\mathfrak{m}$  and let  $f \in K[X]$  be a polynomial of degree n. If

$$(38) n \le p(r-1) + 1$$

then

(39) 
$$d(f,E) < v(f) + \nu_{\mathfrak{m}}(f).$$

Moreover, the previous inequality also holds as soon as

- (1)  $n < pr \text{ when } \mathfrak{m} \not\subseteq E$ ,
- (2)  $n \leq pr \text{ when } \emptyset \neq E \cap \mathfrak{m} \neq \mathfrak{m}.$

*Proof.* Since  $E \subseteq F$  implies  $d(f, E) \ge d(f, F)$ , in order to prove Inequality (39) one may assume that E is exactly the union of r classes modulo  $\mathfrak{m}$ . Analogously to the proof of Proposition 3.1, one may also assume that f is primitive, that is, v(f) = 0, and that  $d(f, E) \ge 2$ .

We still consider a v-ordering  $\{u_s\}_{s\in\mathbb{N}}$  of E, the basis of the V-module V[X] formed by the polynomials  $g_i = \prod_{0\leq s< i}(X-u_s)$ , and the coefficients  $b_i$   $(0 \leq i \leq n)$  of f with respect to this basis. Then,  $\inf_i v(b_i) = 0$ ; let  $i_0$  be the least integer i such that  $v(b_i) = 0$ . It follows from (36) that

$$d(f, E) \le w_E(i_0).$$

When E is a union of r distinct classes modulo  $\mathfrak{m}$ , then (cf. [4] or [3, Prop. 2.4]):

$$w_E(i) = \sum_{l \ge 0} \left[ \frac{i}{rq^l} \right].$$

It follows from the hypothesis that

$$\left[\frac{i_0}{rq}\right] \le \frac{n}{rq} < 1$$

Thus,  $w_E(i_0) = \left[\frac{i_0}{r}\right]$ , and hence,

$$i_0 \ge r \, d(f, E).$$

Because of the fact that E is a union of r classes modulo  $\mathfrak{m}$  and from the previous inequality, the image  $\overline{g}_{i_0}$  of  $g_{i_0}$  in  $(V/\mathfrak{m})[X]$  has a root in each class of E modulo  $\mathfrak{m}$  with a mutiplicity at least equal to d(f, E). The image  $\overline{f}$  of f has the same property. Moreover, if each root of  $\overline{f}$  distinct from the class  $\mathfrak{m}$  had multiplicity  $\geq p$ , we would have the following inequalities:

$$(40) \ n = \deg(f) \ge \deg(f) \ge \deg(\overline{g}_{i_0}) = i_0 \ge d(f, E) + (r-1)p \ge 2 + p(r-1) > n.$$

Consequently, there is at least one root of  $\overline{f}$  distinct from the class  $\mathfrak{m}$  and with multiplicity m < p. It follows from Lemma 2.1 that  $m < \mu(\overline{f}) = \nu_{\mathfrak{m}}(f)$ . Finally,

$$d(f, E) \le m < \nu_{\mathfrak{m}}(f).$$

When  $\mathfrak{m} \not\subseteq E$ , it follows from the proof and inequalities analogous to (40) that the condition n < pr is enough.

Finally, assume that  $\mathfrak{m} \not\subseteq E$  and that there is  $t \in \mathfrak{m} \cap E$ . We may assume that  $E = E_0 \cup \{t\}$  where  $E_0$  is exactly the union of r classes modulo  $\mathfrak{m}$  distinct from  $\mathfrak{m}$ . Then, choosing t as first element of a v-ordering  $\{u_s\}$  of E, we easily see that

$$w_E(s) = w_{E_0}(s) = \sum_{l \ge 0} \left[ \frac{s-1}{rq^l} \right].$$

Consequently, we the previous notation  $i_0$ , one has  $i_0 - 1 \ge r \ d(f, E)$ . Then,  $\overline{f}$  admits each class of E modulo  $\mathfrak{m}$  as root with mutiplicity at least equal to d(f, E). Moreover, if each root of  $\overline{f}$  had a multiplicity  $\ge p$ , we would have:

$$n = \deg(f) \ge \deg(\overline{f}) \ge \deg(\overline{g}_{i_0}) = i_0 \ge 1 + rp > n.$$

We conclude in the same manner.

**Example 5.5.** Let  $p \in \mathbb{P}$ ,  $V = \mathbb{Z}_{(p)}$ , and  $E = \{p\} \cup \mathbb{N} \setminus p\mathbb{N}$  (r = p - 1). For every  $f \in \mathbb{Q}[X]$ , we have

$$n \le p(p-1) \Rightarrow d(f, E) < v_p(f) + \nu_p(f).$$

**Globalization**. We discuss the extension of Proposition 5.4 to any Dedekind domain by showing what happens for a specific example. This seems to us a more efficient way in order to understand the general case rather than proving a general result.

Let  $D = \mathbb{Z}$ ,  $E = \mathbb{P}$ , and f be a primitive polynomial in  $\mathbb{Z}[X]$  of degree n. Recall that, if  $f(\mathbb{P}) \subseteq \mathbb{Z}$  then, for every  $p \in \mathbb{P}$ ,  $f(\{p\} \cup \mathbb{Z} \setminus p\mathbb{Z}) \subseteq \mathbb{Z}_{(p)}$  [7]. Consequently, on the one hand, for every  $p \in \mathbb{P}$ , one has:

$$d_p(f, \mathbb{P}) \le \sum_{l \ge 0} \left[ \frac{k-1}{(p-1)p^l} \right],$$

on the other hand, for every  $p \in \mathbb{P}$  such that  $p(p-1) \ge n-1$ , that is,  $\sqrt{n - \frac{3}{4} + \frac{1}{2}} \le p$ , one has:

$$d_p(f, \mathbb{P}) < \nu_p(f),$$

and hence,

$$d_p(f, \mathbb{P}) \le \inf\left(\frac{n-1}{p-1}, \nu_p(f) - 1\right).$$

*Remark.* A priori we have  $d(f, \mathbb{P}) \geq d(f, \mathbb{Z})$ , but the fact that the inequality  $d_p(f, \mathbb{P}) < \nu_p(f)$  holds as soon as  $n \leq p(p-1)$  is exactly the assertion given by Proposition 3.1 for  $d_p(f, \mathbb{Z})$ .

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