

An Introduction to Noncommutative  
Gröbner-Shirshov(=GS) Bases  
with Applications to Homological Algebra  
Lecture III: Application to (Two-sided) Anick  
Resolutions

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- Anick chains and (two-sided) Anick resolutions
- Algebraic Morse theory
- (Two-sided) Anick resolutions revisited

## Part I.1: reduced GS bases

- Let  $X$  be a nonempty set. Denote by  $X^*$  the free monoid generated by  $X$ . The monoid algebra of  $X^*$  is the vector space generated by  $X^*$  endowed with the multiplication induced by concatenation of monomials, denoted by  $\mathbb{K}\langle X \rangle$ .  $\mathcal{B} = X^*$  is a multiplicative  $\mathbb{K}$ -basis of  $\mathbb{K}\langle X \rangle$ . Write  $\mathcal{B}_+ = \mathcal{B} \setminus \{1\}$ .
- Fix a monomial order  $\prec$  on  $\mathcal{B}$ .
- For a polynomial  $f \in \mathbb{K}\langle X \rangle$ , its leading monomial  $\text{LM}(f)$  is by definition the maximal monomial appearing with nonzero coefficients in  $f$ .
- For a nonempty subset  $S$  of  $\mathbb{K}\langle X \rangle$ ,

$$\text{LM}(S) = \{\text{LM}(f) \mid f \in S, f \neq 0\}.$$

- Let  $I$  be a two-sided ideal in  $\mathbb{K}\langle X \rangle$  contained in  $\mathbb{K}\mathcal{B}_+$ .
- Write  $A = \mathbb{K}\langle X \rangle / I$  and  $A_+ = \mathbb{K}\mathcal{B}_+ / I$ . Then  $\mathbb{K} = A / A_+$  is the trivial module. Write  $\text{NonLM}(I)$  for the complement set of  $\text{LM}(I)$  in  $\mathcal{B}$ . So  $\text{NonLM}(I)$  is a basis of the quotient algebra  $A = \mathbb{K}\langle X \rangle / I$ .
- A GS basis of  $I$  with respect to the monomial order  $\prec$  is a subset  $\mathcal{G} \subseteq I$  such that  $\text{LM}(\mathcal{G})$  generates the ideal  $\langle \text{LM}(I) \rangle$ .
- A GS basis  $\mathcal{G} \subseteq I$  is reduced if it consists of sharp elements in  $I$  and an element of  $\text{LM}(\mathcal{G})$  can not divide one another.

# Part I.1: Ufnarovskii graph and Anick chains

Fix a reduced GS basis  $\mathcal{G} \subseteq I$ .

Denote  $W^{(-1)} = \{1\}$ ,  $W^{(0)} = X$  and  $W = W^{(1)} := \text{LM}(\mathcal{G})$ .

The Ufnarovskii graph  $\mathcal{U}$  with vertex set  $V$  and arrow set  $E$  as follows:

$$V = \{1\} \cup X \cup \{u \in \mathcal{B} \mid u \text{ is a proper right factor of some } v \in W\},$$

and  $E$  is the union of  $\{1 \rightarrow x \mid x \in X\}$  with

$\{u \rightarrow v \mid uv \in \mathcal{B}, uv \in \langle \text{LM}(I) \rangle, w \notin \langle \text{LM}(I) \rangle \text{ for all proper left factors } w \text{ of } uv\}$

## Definition

The set of  $i$ -chains  $W^{(i)}, i \geq 0$  (also called Anick  $i$ -chains) consists of all sequences  $(v_1, \dots, v_i, v_{i+1})$  in  $\mathcal{B}_+^{i+1}$  such that

$$1 \rightarrow v_1 \rightarrow \dots \rightarrow v_i \rightarrow v_{i+1}$$

is a path in  $\mathcal{U}$ .



V. A. Ufnarovski, *Combinatorial and asymptotic methods in algebra*. Algebra VI Encycl. Math. Sci. 57, 1-196, Springer-Verlag, Heidelberg, 1995.

## Part I.1: Polynomial algebras as examples

Let

$$A = \mathbb{K}[x_1, x_2, \dots, x_N] \simeq \mathbb{K}\langle x_1, x_2, \dots, x_N \rangle / (x_j x_i - x_i x_j, 1 \leq i < j \leq N)$$

be the polynomial algebra in  $N$  variables.

Take  $x_1 < x_2 < \dots < x_N$  and extend it to the left length lexicographic order.

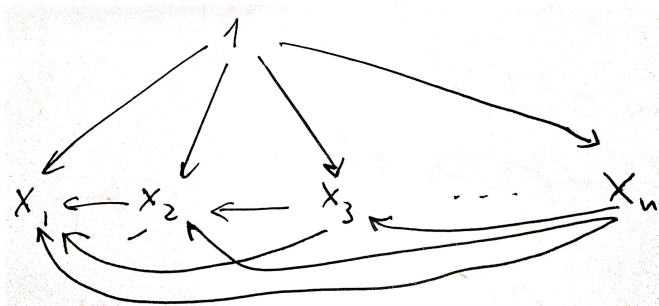
A GS basis of  $I = (x_j x_i - x_i x_j, 1 \leq i < j \leq N)$  is given by

$$\mathcal{G} = \{x_j x_i - x_i x_j, 1 \leq i < j \leq N\},$$

and  $W = \text{LM}(\mathcal{G}) = \{x_j x_i, j > i\}$ .

A basis of  $A$  is given by  $\{x_{i_1} x_{i_2} \cdots x_{i_p} \mid 1 \leq i_1 \leq i_2 \leq \dots \leq i_p \leq N, p \geq 0\}$ .

## Part I.1: Polynomial algebras as examples



For  $n \geq -1$ , Anick  $n$ -chains are

- $W^{(-1)} = \{1\}$ ;
- for  $0 \leq k \leq N-1$ ,  
 $W^{(k)} = \{(x_{i_1}, x_{i_2}, \dots, x_{i_{k+1}}), N \geq i_1 > i_2 > \dots > i_{k+1} \geq 1\}$ ;
- for  $k \geq N$ ,  $W^{(k)} = \emptyset$ .

## Part I.1: Another example

Let

$$A = \mathbb{K}\langle x, y \rangle / (x^2 - yx).$$

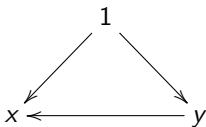
Take  $y > x$  and extend it to the left length lexicographic order.

A GS basis of  $I$  is given by

$$\mathcal{G} = \{yx - x^2\},$$

and  $W = \text{LM}(\mathcal{G}) = \{yx\}$ .

The Ufnarovskiĭ graph  $\mathcal{U}$  is given by



So  $W^{(-1)} = \{1\}$ ,  $W^{(0)} = \{x, y\}$ ,  $W^{(1)} = \{(y, x)\}$ ,  $W^{(p)} = \emptyset, \forall p \geq 2$ .

## Part I.1: Another example

Let

$$A = \mathbb{K}\langle x, y \rangle / (x^2 - yx).$$

Take  $x > y$  and extend it to the left length lexicographic order.

A GS basis of  $I$  is given by

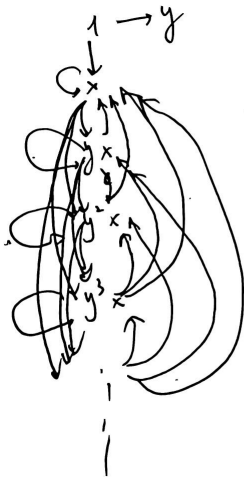
$$\mathcal{G} = \{xy^n x - y^{n+1}x, n \geq 0\}$$

and  $W = \text{LM}(\mathcal{G}) = \{xy^n x, n \geq 0\}$ .



## Part I.1: Another example

The Ufnarovskiĭ graph  $\mathcal{U}$  is given by



$$V_{ij} \geq 0$$

$\exists$  now:  $y^i_x \rightarrow y^j_x$

So  $W^{(-1)} = \{1\}$ ,  $W^{(0)} = \{x, y\}$ ,  $W^{(1)} = \{(x, y^m x), m \geq 0\}$ ,  $W^{(p)} = \{(x, y^{m_1} x, y^{m_2} x, \dots, y^{m_p} x), m_1, \dots, m_p \geq 0\}$ ,  $\forall p \geq 2$ .

# Part I.2: (Two-sided) Anick Resolutions

Theorem (Anick 86, Anick-Green 87, Sköldbberg 2005, Chen-Liu-Z. 2024)

Let  $A = \mathbb{K}\langle X \rangle / I$  and let  $\mathcal{G}$  be a reduced GS basis of  $I$ . Then there exists a free resolution of the trivial module  $\mathbb{K} = A/A_+$  of the form:

$$\cdots \rightarrow P_n = A \otimes \mathbb{K}W^{(n-1)} \rightarrow \cdots \rightarrow P_1 = A \otimes \mathbb{K}X \rightarrow P_0 = A \rightarrow \mathbb{K} \rightarrow 0$$

and a free resolution of  $A$  as bimodule:

$$\cdots \rightarrow Q_n = A \otimes \mathbb{K}W^{(n-1)} \otimes A \rightarrow \cdots \rightarrow Q_1 = A \otimes \mathbb{K}X \otimes A \rightarrow Q_0 = A \otimes A \rightarrow A \rightarrow 0$$



D. J. Anick, *On the Homology of associative algebras*. Trans. Amer. Math. Soc. **296** (1986) 641-659.



D. J. Anick and E. L. Green, *On the homology of quotients of path algebras*. Comm. Algebra **15** (1987), no. 1-2, 309-341.



E. Sköldbberg, *Morse Theory from an Algebraic Viewpoint*. Trans. Amer. Math. Soc. **358** (2005), 115-129.



Jun Chen (陈骏), Yuming Liu (刘玉明), Guodong Zhou (周国栋), *Algebraic Morse theory via homological perturbation lemma*, arXiv:2404.10165, J. Pure Appl. Algebra accepted.

## Part I.2: (Two-sided) Anick Resolutions

### Example

Let

$$A = \mathbb{K}\langle x_1, x_2, \dots, x_N \rangle / (x_j x_i - x_i x_j, 1 \leq i < j \leq N) \cong \mathbb{K}[x_1, x_2, \dots, x_N]$$

be the polynomial algebra in  $N$  variables. Take  $x_1 < x_2 < \dots < x_N$  and extend it to the left length lexicographic order. Let  $V = \bigoplus_{i=1}^N kx_i$ . Then for  $1 \leq k \leq N$ ,

$$W^{(k-1)} = \{(x_{i_1}, x_{i_2}, \dots, x_{i_k}), N \geq i_1 > i_2 > \dots > i_k \geq 1\},$$

so  $\mathbb{K}W^{(k-1)} \cong \Lambda^k(V)$ .

The two-sided Anick resolution has the form

$$0 \rightarrow A \otimes \Lambda^N(V) \otimes A \rightarrow \dots \rightarrow A \otimes \Lambda^1(V) \otimes A \rightarrow A \otimes V \otimes A \rightarrow A \rightarrow 0,$$

which is very much like (and in fact isomorphic to) the Koszul resolution.

## Part I.2: (Two-sided) Anick Resolutions

### Example

Let

$$A = \mathbb{K}\langle x, y \rangle / (x^2 - yx).$$

Take  $y > x$  and extend it to the left length lexicographic order. The two-sided Anick resolution has the form

$$0 \rightarrow A \otimes \mathbb{K}(y, x) \otimes A \rightarrow A \otimes \mathbb{K}x \otimes A \oplus A \otimes \mathbb{K}y \otimes A \rightarrow A \rightarrow 0$$

or

$$0 \rightarrow A^e \rightarrow A^e \oplus A^e \rightarrow A^e \rightarrow A \rightarrow 0.$$

### Example

Let

$$A = \mathbb{K}\langle x, y \rangle / (x^2 - yx).$$

Take  $x > y$  and extend it to the left length lexicographic order. The two-sided Anick resolution has the form

$$\cdots \rightarrow \bigoplus_{m=0}^{\infty} A \otimes \mathbb{K}(x, y^m x) \otimes A \rightarrow A \otimes \mathbb{K}x \otimes A \oplus A \otimes \mathbb{K}y \otimes A \rightarrow A \rightarrow 0.$$

# Part I.2: (Two-sided) Anick Resolutions

## Problem

*How to describe the differential on the (two-sided) Anick resolution?*

## Answer

*There are no explicit formulae, but four recursive methods:*

- *original method of Anick and Anick-Green*
- *rewriting system due to Chouhy-Solotar*
- *polygraphic resolutions due to Guiraud-Hoffbeck-Malbos*



D. J. Anick, *On the Homology of associative algebras*. Trans. Amer. Math. Soc. **296** (1986) 641-659.



D. J. Anick and E. L. Green, *On the homology of quotients of path algebras*. Comm. Algebra **15** (1987), no. 1-2, 309-341.



Sergio Chouhy, Andrea Solotar, *Projective resolutions of associative algebras and ambiguities*. J. Algebra **432** (2015), 22-61.



Yves Guiraud, Eric Hoffbeck, Philippe Malbos, *Convergent presentations and polygraphic resolutions of associative algebras*, Math. Z. **293** (2019), no. 1-2, 113-179.

# Part I.2: (Two-sided) Anick Resolutions

## Problem

*How to describe the differential on the (two-sided) Anick resolution?*

## Answer

*There are no explicit formulae, but four recursive methods:*

- *algebraic Morse theory due to Sköldbberg*



E. Sköldbberg, *Morse Theory from an Algebraic Viewpoint*. Trans. Amer. Math. Soc. **358** (2005), 115-129.



Jun Chen (陈骏), Yuming Liu (刘玉明), Guodong Zhou (周国栋), *Algebraic Morse theory via homological perturbation lemma*, arXiv:2404.10165, J. Pure Appl. Algebra accepted.

## Part I.3: Monomial algebras as examples

Let  $A = T(V)/(\mathcal{G})$  where  $\mathcal{G}$  is a "minimal" set of monomials. Then  $\mathcal{G}$  is a reduced GS basis of  $A$ .

### Theorem (Bardzell 1997)

Let  $w_{1,n} = (w_1, \dots, w_n)$  be an  $(n-1)$ -chain, then

(i) for  $n$  odd,

$$d_n(w_{1,n}) = 1 \otimes w_{1,n-1} \otimes w_n - u \otimes w'_{1,n-1} \otimes 1$$

with  $w_1 \cdots w_n = uw'_1 \cdots w'_{n-1}$  and  $w'_{1,n-1} \in W^{(n-2)}$ ;

(ii) for  $n$  even,

$$d_n(w_{1,n}) = \sum_{w'_{1,n-1} \in W^{(n-2)} \text{ with } w_1 \cdots w_n = uw'_1 \cdots w'_{n-1}v} u \otimes w'_{1,n-1} \otimes v.$$



Michael J. Bardzell, *The alternating syzygy behavior of monomial algebras*, J. Algebra **188** (1997), no. 1, 69-89.

## Fact

*The two-sided Anick resolution is isomorphic to the Bardzell resolution.*



E. Sköldberg, *Morse Theory from an Algebraic Viewpoint*. Trans. Amer. Math. Soc. **358** (2005), 115-129.



Severin Barmeier, Jun Chen (陈骏), Zhengfang Wang (汪正方), and Guodong Zhou (周国栋), *Comparison morphisms for monomial algebras via algebraic Morse theory*, preprint 2021.



## Part I.3: Monomial algebras as examples

- Comparison morphisms (as well as the whole homotopy retract) between the two-sided Anick resolution and the (reduced) bar resolution



María Julia Redondo, Lucrecia Román, *Comparison morphisms between two projective resolutions of monomial algebras*, Rev. Un. Mat. Argentina **59** (2018), no. 1, 1-31.



Severin Barmeier, Jun Chen (陈骏), Zhengfang Wang (汪正方), and Guodong Zhou (周国栋), *Comparison morphisms for monomial algebras via algebraic Morse theory*, preprint 2021.



Emil Sköldbberg, *A contracting homotopy for Bardzell's resolution*, Math. Proc. R. Ir. Acad. **108** (2008), no. 2, 111-117.








Jingfeng Zhang (张敬峰), *Constructing comparison morphisms via weak self-homotopies with applications to monomial algebras*, master thesis in ECNU, June 2021.




## Part I.4: Side remarks on Anick resolutions

- Anick resolutions are of combinatorial nature, so is algorithmic. It is hard to give explicate formulae for this resolution.
- In general, Anick resolutions are not best possible.
  - Even if the algebra has finite global dimension, Anick resolution may be infinite.
  - Even if minimal resolutions exist, Anick resolution may be not minimal.





# Part I.4: Side remarks on constructions of projective resolutions

-  Dieter Happel, *Hochschild cohomology of finite-dimensional algebras*, Lecture Notes in Math., 1404 Springer-Verlag, Berlin, 1989, 108-126.
-  M. C. R. Butler, A. D. King, *Minimal resolutions of algebras*, J. Algebra **212** (1999), no. 1, 323-362.
-  E. L. Green, Ø. Solberg, D. Zacharia, *Minimal projective resolutions*, Trans. Amer. Math. Soc. **353** (2001), no. 7, 2915-2939.
-  Sergio Chouhy, Andrea Solotar, *Projective resolutions of associative algebras and ambiguities*. J. Algebra **432** (2015), 22-61.
-  Yves Guiraud, Eric Hoffbeck, Philippe Malbos, *Convergent presentations and polygraphic resolutions of associative algebras*, Math. Z. **293** (2019), no. 1-2, 113-179.

## Part II.1: History of algebraic Morse theory

-  K.S. Brown and R. Geoghegan, *An infinite-dimensional torsion-free  $FP_\infty$  group*. Invent. Math. **77** (1984), no. 2, 367-381.
-  K.S. Brown, *The geometry of rewriting systems: a proof of the Anick-Groves-Squier theorem*. Algorithms and classification in combinatorial group theory (Berkeley, CA, 1989), 137 – 163, Math. Sci. Res. Inst. Publ., 23, Springer, New York, 1992.
-  R. Forman, *Morse theory for cell complexes*. Adv. Math. **134** (1998), no. 1, 90-145.

## Part II.1: History of algebraic Morse theory

-  E. Sköldbberg, *Morse Theory from an Algebraic Viewpoint*. Trans. Amer. Math. Soc. **358** (2005), 115-129.
-  D. N. Kozlov, *Discrete Morse theory for free chain complexes*. C.R. Math. Acad. Sci. Paris **340** (2005), 867-872.
-  M. Jöllenbeck and V. Welker, *Minimal resolutions via algebraic discrete Morse theory*. Mem. Amer. Math. Soc. **197** (2009), no. 923, vi+74 pp.
-  Jun Chen (陈骏), Yuming Liu (刘玉明), Guodong Zhou (周国栋), *Algebraic Morse theory via homological perturbation lemma*, arXiv:2404.10165, J. Pure Appl. Algebra accepted.

## Part II. 2: Two easy observations

### Fact

Let  $0 \rightarrow C_{\bullet} \xrightarrow{f_{\bullet}} D_{\bullet} \xrightarrow{g_{\bullet}} E_{\bullet} \rightarrow 0$  be a short exact sequence of complexes.

- If  $C_{\bullet}$  (resp.  $E_{\bullet}$ ) is exact, then  $g_{\bullet}$  (resp.  $f_{\bullet}$ ) is a quasi-isomorphism.
- If  $C_{\bullet}$  (resp.  $E_{\bullet}$ ) is null homotopic and the short exact sequence splits degreewise, then  $g_{\bullet}$  (resp.  $f_{\bullet}$ ) is a homotopy equivalence.

### Corollary

We can throw out an exact or contractible subcomplex or quotient complex to get a smaller complex with the same homology.

### Question

Can we throw out one part of a complex, which is exact, but neither a subcomplex nor a quotient complex?

## Part II.2: An example

Let  $C$  be a complex of the form

$$\begin{array}{ccccccc}
 \cdots & \xrightarrow{d_{n+2}} & C_{n+1} & \xrightarrow{d'_{n+1}} & C'_n & \xrightarrow{d'_n} & C'_{n-1} & \xrightarrow{d'_{n-1}} & C_{n-2} & \xrightarrow{d_{n-2}} & \cdots \\
 & & \searrow k & & \searrow h & \nearrow f & & \nearrow l & & & \\
 & & & & R & \xrightarrow[g]{\cong} & R & & & & 
 \end{array}$$

where  $C_n = C'_n \oplus R$ ,  $C_{n-1} = C'_{n-1} \oplus R$ .

We have

$$gk + hd'_{n+1} = 0, fk + d'_n d'_{n+1} = 0, lg + d'_{n-1} f = 0, lh + d'_{n-1} d'_n = 0.$$

## Part II.2: An example (continued)

Construct a new diagram:

$$\begin{array}{ccccccc}
 \cdots & \xrightarrow{d_{n+2}} & C_{n+1} & \xrightarrow{d'_{n+1}} & C'_n & \xrightarrow{d'_n} & C'_{n-1} & \xrightarrow{d'_{n-1}} & C_{n-2} & \xrightarrow{d_{n-2}} & \cdots \\
 & & \searrow k & & \searrow h & \nearrow f & & & \nearrow l & & \\
 & & & & R & & R & & & & \\
 & & & & & \xleftarrow{-g^{-1}} & & & & & 
 \end{array}$$

Define a new complex  $C_\bullet^M$ :

$$\cdots \xrightarrow{d_{n+2}} C_{n+1} \xrightarrow{d'_{n+1}} C'_n \xrightarrow{d''_n = d'_n - fg^{-1}h} C'_{n-1} \xrightarrow{d'_{n-1}} C_{n-2} \xrightarrow{d_{n-2}} \cdots$$

Then  $C_\bullet^M$  is homotopy equivalent to  $C_\bullet$ .



## Part II.2: The second example

Consider the following complex

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\alpha} & A_{n+1} & \xrightarrow{\alpha} & A_n & \xrightarrow{\alpha} & A_{n-1} & \xrightarrow{\alpha} & A_{n-2} & \xrightarrow{\alpha} & \cdots \\ & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \\ & & & & R & \xrightarrow{\delta'_1} & R & & R & \xrightarrow{\delta'_2} & R \\ & & & & \nearrow \beta & & \nearrow \beta & & \nearrow \beta & & \\ & & & & & & & & & & \end{array}$$

Diagram illustrating a complex structure with objects  $A_{n+1}, A_n, A_{n-1}, A_{n-2}$  and  $R$ . The top row shows a sequence of maps  $\alpha$  between the  $A$  objects. Below, the  $A$  objects map to  $R$  via maps  $\gamma$ . The  $R$  objects are connected by maps  $\delta'_1, \delta'_2$  and  $\delta''$ . Maps  $\beta$  also connect the  $R$  objects to the  $A$  objects.

with  $\delta'_i, i = 1, 2$  invertible.

## Part II.2: The second example (continued)

Construct a new diagram:

$$\begin{array}{ccccccc}
 \cdots & \xrightarrow{\alpha} & A_{n+1} & \xrightarrow{\alpha} & A_n & \xrightarrow{\alpha} & A_{n-1} & \xrightarrow{\alpha} & A_{n-2} & \xrightarrow{\alpha} & \cdots \\
 & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \\
 & & & & R & \xleftarrow{h_1} & R & & R & & \\
 & & \searrow \gamma & & \searrow \delta'' & & \searrow \delta'' & & \searrow \delta'' & & \\
 & & & & R & \xleftarrow{h_2} & R & & R & & \\
 & & & & & & \nearrow \beta & & \nearrow \beta & & \\
 & & & & & & \nearrow \beta & & \nearrow \beta & & 
 \end{array}$$

where  $h_i = -\delta'_i{}^{-1}$ ,  $i = 1, 2$ .

Define a new complex

$$\cdots \xrightarrow{\alpha} A_{n+1} \xrightarrow{\alpha} A_n \xrightarrow{\alpha + \beta h_1 \gamma} A_{n-1} \xrightarrow{\alpha} A_{n-2} \xrightarrow{\alpha} \cdots$$

which is homotopy equivalent to the original complex.

## Part II.2: The third example

Consider the following complex

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\alpha} & A_{n+1} & \xrightarrow{\alpha} & A_n & \xrightarrow{\alpha} & A_{n-1} & \xrightarrow{\alpha} & A_{n-2} & \xrightarrow{\alpha} & \cdots \\ & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \searrow \gamma & & \\ & & & & R & \xrightarrow{\delta'} & R & & R & \xrightarrow{\delta'} & R \\ & & & & \searrow \delta'' & & \searrow \delta'' & & \searrow \delta'' & & \\ & & & & & & R & \xrightarrow{\delta'} & R & & \\ & & & & & & \searrow \delta'' & & \searrow \delta'' & & \\ & & & & & & & & & & \end{array}$$

with  $\delta'$  invertible.

## Part II.2: The third example (continued)

Construct a new diagram:

$$\begin{array}{ccccccc}
 \cdots & \xrightarrow{\alpha} & A_{n+1} & \xrightarrow{\alpha} & A_n & \xrightarrow{\alpha} & A_{n-1} & \xrightarrow{\alpha} & A_{n-2} & \xrightarrow{\alpha} & \cdots \\
 & & \searrow \gamma & & \searrow \gamma & & \nearrow \gamma & & \nearrow \gamma & & \\
 & & & & R & \xleftarrow{h} & R & \xrightarrow{\beta} & R & \xrightarrow{\beta} & \\
 & & \searrow \gamma & & \searrow \delta'' & & \nearrow \delta' & & \nearrow \beta & & \\
 & & & & R & \xleftarrow{h} & R & \xrightarrow{\beta} & R & \xrightarrow{\beta} & \\
 & & & & & & & & & & 
 \end{array}$$

where  $h = -\delta'^{-1}$ .

It has an oriented cycle!

Suppose that  $\delta'' h \delta'' h$  is **locally nilpotent**.

Define a new complex

$$\cdots \xrightarrow{\alpha} A_{n+1} \xrightarrow{\alpha} A_n \xrightarrow{\alpha} A_{n-1} \xrightarrow{\alpha} A_{n-2} \xrightarrow{\alpha} \cdots$$

which is homotopy equivalent to the original complex.

## Part II.3: Free complexes and their weighted quiver

Let  $R$  be a ring. Let  $C_\bullet = (C_i, \partial_i)_{i \in \mathbb{N}}$  be a complex of free  $R$ -modules. Choose a basis  $X = \coprod_{i \geq 0} X_i$  such that  $C_i \cong \bigoplus_{c \in X_i} Rc$ . Via this basis  $\partial_i : C_i \rightarrow C_{i-1}$  has the form

$$\partial_i(c) = \sum_{c' \in X_{i-1}} [c : c'] \cdot c',$$

for  $[c : c'] \in R$ .

### Definition

Define a weighted quiver  $\Gamma(C_\bullet) = (V, E)$  where  $V = X$  is the set of vertices of  $\Gamma(C)$  and  $E$  is the set of weighted arrows:

$$(c \xrightarrow{[c:c']} c') \in E \iff c \in X_i, c' \in X_{i-1}, [c : c'] \neq 0.$$

### Definition

A nonempty subset  $\mathcal{M} \subset E$  is a partial matching, if it satisfies the following conditions:

- (1) Each vertex  $v \in V$  is incident to at most one arrow in  $\mathcal{M}$ .
- (2) Each arrow  $c \xrightarrow{[c:c']} c'$  in  $\mathcal{M}$  has its weight  $[c : c'] \in Z(R)^\times$ .

Define a new weighted quiver  $\Gamma_{\mathcal{M}} = (V, E_{\mathcal{M}})$ , where

$$E_{\mathcal{M}} = (E \setminus \mathcal{M}) \cup \{(c \xleftarrow{-\frac{1}{[c:c']}} c') \text{ with } (c \xrightarrow{[c:c']} c') \in \mathcal{M}\}.$$

## Part II.3: Some notations

Let  $\mathcal{M}$  be a partial matching in  $\Gamma(C_\bullet) = (V, E)$ .

- A vertex  $c \in V$  is a critical vertex (with respect to  $\mathcal{M}$ ), if  $c$  is not incident to any arrow in  $\mathcal{M}$ . Denote

$$X_i^{\mathcal{M}} := \{c \in X_i : c \text{ critical}\}, X^{\mathcal{M}} = \bigcup_i X_i^{\mathcal{M}}$$

- Denote  $\text{Path}(c, c')$  to be the set of all paths from  $c$  to  $c'$  in  $\Gamma_{\mathcal{M}}(C_\bullet)$ .
- For a path  $p = (c_1 \rightarrow \dots \rightarrow c_r) \in \text{Path}(c_1, c_r)$  its weight  $w(p)$  is defined to be

$$w(c_1 \rightarrow \dots \rightarrow c_r) = \prod_{i=1}^{r-1} w(c_i \rightarrow c_{i+1}),$$

$$w(c \rightarrow c') = \begin{cases} -\frac{1}{[c':c]}, & c \dashrightarrow c', \\ [c:c'], & c \rightarrow c'. \end{cases}$$

- Let  $\tau(c, c') = \sum_{p \in \text{Path}(c, c')} w(p)$  be the sum of the weights of all paths from  $c$  to  $c'$  in  $\Gamma_{\mathcal{M}}(C_\bullet)$ .

### Definition

A partial matching  $\mathcal{M} \subset E$  is a Morse matching, if it satisfies the following local finiteness hypothesis:

- Fix an arbitrary vertex  $c$ . For each vertex  $c' \in X_n$ , the sum of the weights of all paths from  $c$  to  $c'$  in  $\Gamma_{\mathcal{M}}(C_{\bullet})$

$$\tau(c, c') = \sum_{p \in \text{Path}(c, c')} w(p)$$

exists (for instance, it may be a finite sum or it is convergent in a certain norm); moreover, the number of vertices  $c' \in X_n$  such that

$$\tau(c, c') \neq 0$$

is finite.



## Part II.3: Main theorem of algebraic Morse theory

Theorem (E. Skoldberg 05, D. Kozlov 05)

Given a complex of free modules  $(C_\bullet, \partial_\bullet)$ , let  $\mathcal{M}$  be a Morse matching in  $\Gamma$ . Define

$$C_i^{\mathcal{M}} := \bigoplus_{c \in X_i^{\mathcal{M}}} Rc,$$

$$\partial_i^{\mathcal{M}} : \begin{cases} C_i^{\mathcal{M}} \rightarrow C_{i-1}^{\mathcal{M}} \\ c \mapsto \sum_{c' \in X_{i-1}^{\mathcal{M}}} \tau(c, c') c'. \end{cases}$$

Then  $(C_\bullet^{\mathcal{M}}, d_\bullet^{\mathcal{M}})$  is a complex.

## Part II.3: Main theorem of algebraic Morse theory (continued)

Theorem (E. Skoldberg 05, D. Kozlov 05)

*Define maps*

$$\begin{aligned} f_p : C_p &\rightarrow C_p^{\mathcal{M}} \\ c &\mapsto f_p(c) := \sum_{c' \in X_p^{\mathcal{M}}} \tau(c, c') c' \end{aligned}$$

*and*

$$\begin{aligned} g_p : C_p^{\mathcal{M}} &\rightarrow C_p \\ c &\mapsto g_p(c) := \sum_{c' \in X_p} \tau(c, c') c' \end{aligned}$$

*Then  $f_{\bullet} : C_{\bullet} \rightarrow C_{\bullet}^{\mathcal{M}}$  and  $g_{\bullet} : C_{\bullet}^{\mathcal{M}} \rightarrow C_{\bullet}$  are chain maps and establish a homotopy equivalence between  $C_{\bullet}$  and  $C_{\bullet}^{\mathcal{M}}$ .*

## Part II.3: Main theorem of algebraic Morse theory (continued)

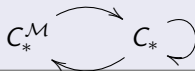
Theorem (E. Skoldberg 05, D. Kozlov 05)

*The chain maps  $f_{\bullet} : C_{\bullet} \rightarrow C_{\bullet}^{\mathcal{M}}$  and  $g_{\bullet} : C_{\bullet}^{\mathcal{M}} \rightarrow C_{\bullet}$  establish homotopy equivalence between  $C_{\bullet}$  and  $C_{\bullet}^{\mathcal{M}}$ . In fact  $fg = \text{Id}$  and  $gf \sim \text{Id}$  via the homotopy*

$$\begin{aligned}\sigma_p : C_p &\rightarrow C_{p+1} \\ c &\mapsto \sigma_p(c) := \sum_{c' \in X_{p+1}} \tau(c, c') c'\end{aligned}$$

Remark

*Algebraic Morse theory enables the use of homotopy transfer technique.*



## Part III.1: The normalized two-sided bar resolution

Let  $A = T(V)/(\mathcal{G})$  with a reduced GS basis  $\mathcal{G}$  and the induced linear basis  $\text{Irr}(\mathcal{G})$ . Let  $\bar{A} = A/\mathbb{K}1_A$ . Then  $\text{Irr}(\mathcal{G})_+ = \text{Irr}(\mathcal{G}) \setminus \{1\}$  is a linear basis of  $\bar{A}$ .

Recall the normalized two-sided bar resolution  $B(A)$  has the form:

$$B(A)_n = A \otimes_k \bar{A}^{\otimes n} \otimes_k A \cong A^e \otimes_k \bar{A}^{\otimes n},$$

where  $\bar{A} = A/k$  and differential is defined by:

$$\begin{aligned} d([a_1 | \cdots | a_n]) &= a_1[a_2 | \cdots | a_n] + \sum_{i=1}^{n-1} (-1)^i [a_1 | \cdots | a_i a_{i+1} | \cdots | a_n] \\ &\quad + (-1)^n [a_1 | \cdots | a_{n-1}] a_n, \end{aligned}$$

where  $[a_1 | \cdots | a_n] = 1 \otimes a_1 \otimes \cdots \otimes a_n \otimes 1$ .

Recall that one can construct a weighted quiver  $\Gamma_{B(A)}$  with vertices  $[w_1 | \cdots | w_n]$  for  $w_1, \dots, w_n \in \text{Irr}(\mathcal{G})_+$ .

# Part III.1: Morse matching over the normalized two-sided bar resolution

For a vertex  $[w_1 | \cdots | w_n]$  in  $\Gamma_{B(A)}$ , let  $i$  is the largest integer such that  $(w_1, \dots, w_{i+1})$  is an  $i$ -chain.

We define a partial matching on  $\Gamma_{B(A)}$  by letting arrows be the form

$$[w_1 | \cdots | w_{i+2} | w_{i+2}'' | \cdots | w_n] \rightarrow [w_1 | \cdots | w_{i+1} | w_{i+2} | \cdots | w_n],$$

where  $w_{i+2}' w_{i+2}'' = w_{i+2}$  and  $(w_1, \dots, w_{i+1}, w_{i+2}') is an  $(i+1)$ -chain.$

**Theorem (E. Sköldbberg 2006)**

*The partial matching  $\mathcal{M}$  defined above is a Morse matching such that the set of critical vertices in  $n$ -th component is identified with the set  $W^{(n-1)}$  of  $n-1$ -chains. The resolution  $B(A)^{\mathcal{M}}$  is called the two-sided Anick resolution of  $A$ .*



E. Sköldbberg, *Morse Theory from an Algebraic Viewpoint*. Trans. Amer. Math. Soc. **358** (2005), 115-129.



Jun Chen (陈骏), Yuming Liu (刘玉明), Guodong Zhou (周国栋), *Algebraic Morse theory via homological perturbation lemma*, arXiv:2404.10165, J. Pure Appl. Algebra accepted.

## Part III.2: Polynomial algebras as examples

Let  $k$  be a field,  $N \geq 1$  be an integer,  $V$  be a vector space of dimension  $N$  with basis  $\{x_1, x_2, \dots, x_N\}$ . Let

$$A = S(V^*) = k[x_1, x_2, \dots, x_N] \simeq k\langle x_1, x_2, \dots, x_N \rangle / (x_j x_i - x_i x_j, 1 \leq i < j \leq N)$$

be the polynomial algebra in  $N$  variables.

Take  $x_1 < x_2 < \dots < x_N$  and extend it to the left length lexicographic order.

A Gröbner-Shirshov basis of  $I = (x_j x_i - x_i x_j, 1 \leq i < j \leq N)$  is given by

$$\mathcal{G} = \{x_j x_i - x_i x_j, 1 \leq i < j \leq N\},$$

and  $W = \text{LM}(\mathcal{G}) = \{x_j x_i, j > i\}$ .

A basis of  $A$  is given by  $\text{Irr}(\mathcal{G}) = \{x_1^{k_1} x_2^{k_2} \cdots x_N^{k_N} \mid k_1, k_2, \dots, k_N \geq 0\}$ .

### Fact

*The two-sided Anick resolution is isomorphic to the Koszul resolution.*

For  $p \geq 0$ , let  $\Lambda^p(V)$  be the  $p$ -th exterior product of  $V$ . For  $0 \leq p \leq N$ , let  $K_p = A \otimes \Lambda^p(V) \otimes A$ , and the differential

$$d_p : K_p = A \otimes \Lambda^p(V) \otimes A \longrightarrow K_{p-1} = A \otimes \Lambda^{p-1}(V) \otimes A$$

sends  $1 \otimes (x_{j_p} \wedge x_{j_{p-1}} \wedge \cdots \wedge x_{j_1}) \otimes 1$  with  $N \geq j_p > \cdots > j_1 \geq 1$  to

$$\begin{aligned} & \sum_{i=1}^p (-1)^{p-i} x_{j_i} \otimes (x_{j_p} \wedge \cdots \wedge \hat{x}_{j_i} \wedge \cdots \wedge x_{j_1}) \otimes 1 \\ & - \sum_{i=1}^p (-1)^{p-i} 1 \otimes (x_{j_p} \wedge \cdots \wedge \hat{x}_{j_i} \wedge \cdots \wedge x_{j_1}) \otimes x_{j_i}, \end{aligned}$$

where  $\hat{\phantom{x}}$  means deleting this element.

- Comparison morphism from Koszul resolution to the normalised bar resolution

$$F_* : K_* \rightarrow B_*$$

For  $p \geq 0$ , define  $F_p : A \otimes \bigwedge^p(V) \otimes A \rightarrow A \otimes \overline{A}^{\otimes p} \otimes A$  by

$$F_p(1 \otimes (x_{j_p} \wedge \cdots \wedge x_{j_1}) \otimes 1) = \sum_{\pi \in S_p} \operatorname{sgn}(\pi) \otimes x_{j_{\pi(p)}} \otimes \cdots \otimes x_{j_{\pi(1)}} \otimes 1,$$

where  $N \geq j_p > j_{p-1} > \cdots > j_1 \geq 1$ .



- Comparison morphism from the normalised bar resolution to Koszul resolution

$$G_* : B_* \rightarrow K_*$$



A.V. Shepler and S. Witherspoon, *Quantum differentiation and chain maps of bimodule complexes*. Algebra Number Theory **5** (2011), no. 3, 339-360.



S. Witherspoon and G. Zhou, *Gerstenhaber brackets on Hochschild cohomology of quantum symmetric algebras and their group extensions*. Pacific J. Math. **283** (2016), no. 1, 223 – 255.

- Constructing the homotopy retract

$$K_* \begin{array}{c} \xrightarrow{F_*} \\ \xleftarrow{G_*} \end{array} B_* \quad \begin{array}{c} \circlearrowright \\ \sigma_* \end{array}$$

with  $G_* F_* = Id_{K_*}$ ,  $F_* G_* - Id_{B_*} = \sigma_{*-1} d_* + d_* \sigma_{*+1}$



Qiong Duan (段琮), *Constructing comparison morphisms via algebraic Morse theory*, Master thesis in ECNU, June 2018.



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## Part III.2: Polynomial algebras as examples

- Construct the homotopy retract for cohomology complexes



M. de Wilde and P. Lecomte, *An homotopy formula for the Hochschild cohomology*, Compositio Math. **96** (1995), 99-109.



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- Construct the homotopy retract for homology complexes



G. Halbout, *Formule d'homotopie entre les complexes de Hochschild et de de Rham*. Compositio Math. **126** (2001), no. 2, 123-145.



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